

AD _____

Award Number: DAMD17-01-1-0766

TITLE: Neurotrophic Response to CNS Degeneration or Injury:
Effects of Aging

PRINCIPAL INVESTIGATOR: David M. Yurek, Ph.D.

CONTRACTING ORGANIZATION: University of Kentucky
Research Foundation
Lexington, KY 40506-0057

REPORT DATE: October 2004

TYPE OF REPORT: Annual

PREPARED FOR: U.S. Army Medical Research and Materiel Command
Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release;
Distribution Unlimited

The views, opinions and/or findings contained in this report are
those of the author(s) and should not be construed as an official
Department of the Army position, policy or decision unless so
designated by other documentation.

20050105 033

REPORT DOCUMENTATION PAGE

*Form Approved
OMB No. 074-0188*

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503

1. AGENCY USE ONLY (Leave blank)	2. REPORT DATE October 2004	3. REPORT TYPE AND DATES COVERED Annual (28 Sep 2003 - 27 Sep 2004)	
4. TITLE AND SUBTITLE Neurotrophic Response to CNS Degeneration or Injury: Effects of Aging		5. FUNDING NUMBERS DAMD17-01-1-0766	
6. AUTHOR(S) David M. Yurek, Ph.D.			
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) University of Kentucky Research Foundation Lexington, KY 40506-0057 E-Mail: dyure00@uky.edu		8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012		10. SPONSORING / MONITORING AGENCY REPORT NUMBER	
11. SUPPLEMENTARY NOTES			
12a. DISTRIBUTION / AVAILABILITY STATEMENT Approved for Public Release; Distribution Unlimited		12b. DISTRIBUTION CODE	
13. ABSTRACT (Maximum 200 Words) The etiology of Parkinson's disease is not known and may be related to several factors which include inheritable mutations (genetic), exposure to environmental toxins, and/or traumatic head injury. Our current research examines age-related changes in neurotrophic factor expression in Brown Norway/(Fischer 344 F1 hybrid (F344BNF ₁)rats, and we have preliminary evidence that the young and aged nigrostriatal system responds differently to neurotoxic insult or mechanical injury, i.e., young rats show a tendency to increase neurotrophic factor expression while aged rats do not. This is an important finding in the sense that the success of new therapies utilizing embryonic neurons or stem cells may be dependent on how well the implanted cells interact with the host neurotrophic environment. The studies proposed in this research project will further characterize the temporal expression of neurotrophic markers before and after neurotoxic insult or mechanical injury to the nigrostriatal system in young, middle-age, and old F344BNF ₁ rats. The second part of this project will demonstrate that age differences in compensatory neurotrophic mechanisms that occur in the nigrostriatal system have a direct impact on the success of embryonic neurons implanted into the injured or denervated striatum.			
14. SUBJECT TERMS Aging, neurotrophic factors, GDNF, BDNF, Parkinson's disease, neural transplantation, rodent, dopamine, striatum		15. NUMBER OF PAGES 36	
		16. PRICE CODE	
17. SECURITY CLASSIFICATION OF REPORT Unclassified	18. SECURITY CLASSIFICATION OF THIS PAGE Unclassified	19. SECURITY CLASSIFICATION OF ABSTRACT Unclassified	20. LIMITATION OF ABSTRACT Unlimited

NSN 7540-01-280-5500

Standard Form 298 (Rev. 2-89)
Prescribed by ANSI Std. Z39-18
298-102

Table of Contents

Cover.....	1
SF 298.....	2
Introduction.....	4
Body.....	4
Key Research Accomplishments.....	8
Reportable Outcomes.....	8
Conclusions.....	9
References.....	9
Appendices.....	11

Introduction

The main hypothesis to be tested is whether or not molecular markers for neurotrophic factors and their receptors show a greater compensatory response to neurotoxic insult or injury in young brain than in older brain in the nigrostriatal system. Our most recent data shows during normal aging, there is an increased expression of factors that may be neurotrophic for dopamine neurons; however, only in young animals receiving unilateral lesions do we observe an increased expression of neurotrophic activity on the lesion side relative to the intact side of the brain. This is important from the standpoint that strategies which employ living cells to restore or release factors beneficial to injured brain regions may also require supplemental neurotrophic support for implanted cells, particularly if trophic mechanisms are diminished in the aging brain. We will test this hypothesis by implanting fetal dopaminergic grafts into the brains at various times relative to the lesion or injury, and then assess the integrity of the grafts. Based upon our preliminary studies, we hypothesize that grafts placed into the brains of young rats will show better graft survival and function than grafts placed into older brain. And lastly, we hypothesize that graft survival and function in aged rats with nigrostriatal injuries can be improved with supplemental treatments of neurotrophic factors.

Body

Over the three years of this project we have nearly completed a comprehensive study where we measured protein activity for two neurotrophic factors, BDNF and GDNF, following a neurotoxin-induced lesion of the aging nigrostriatal pathway. Initial studies were reported in Appendix 2. Table 1 (below) summarizes the data that has been completed to date. In the striatum of young animals, both BDNF and GDNF are up-regulated during the first 2 post-lesion weeks with BDNF remaining up-regulated for at least another two weeks. In old rats we do not observe a compensatory up-regulation of either of these neurotrophic factors at any time we looked during a 12 week post-lesion period; at the 2nd post-lesion week we even see a significant decline in striatal BDNF protein levels; this is consistent with a recent study by Collier *et al.* (Appendix 4) in which we report a significant decline of BDNF protein levels in aging MPTP-treated monkeys. We also see an immediate up-regulation of BDNF and GDNF in the ventral midbrain following the lesion and again BDNF protein levels remain elevated for at least 4 weeks; thus BDNF and FGF-2 protein (see above) show protracted elevations in the

Table 1
Relative changes of BDNF or GDNF at several post-lesion time points

(Young Rats, 4-5 months old)	3 Days	2 Weeks	4 Weeks	12 Weeks	16 Weeks
BDNF					
Lesioned striatum	n.s.	↑↑	↑↑	n.s.	n.s.
Lesioned midbrain	↑↑	↑↑	↑↑	n.s.	n.s.
GDNF					
Lesioned striatum	n.s.	↑↑	n.s.	n.s.	n.s.
Lesioned midbrain	↑	n.s.	n.s.	↓↓	↓
(Old Rats, 30 months old)					
BDNF					
Lesioned striatum	n.d.	↓↓	n.s.	n.s.	n.d.
Lesioned midbrain	n.d.	↑↑	n.s.	n.s.	n.d.
GDNF					
Lesioned striatum	n.d.	n.s.	n.s.	n.s.	n.d.
Lesioned midbrain	n.d.	n.s.	n.s.	n.s.	n.d.

↑↑ or ↓↓, significant difference vs. intact side; ↑ or ↓, approaching significance ($p \leq 0.07$);
n.s. = not significant vs. intact side; n.d. = not determined

lesion ventral midbrain. We also observed a significant age-related reduction of GDNF protein values in both the intact and lesioned striata and ventral midbrain regions (see Appendix 2).

Studies in the last year of this project focused on the changes in activity of a third neurotrophic factor, fibroblast growth factor-2 (FGF-2 or bFGF). Most of the experiments were carried out in Brown Norway/Fischer 344 hybrid rats (F344BNF₁). The results from this study showed that unlike GDNF or BDNF, FGF-2 protein levels in the striatum and substantia nigra increased with age (Figures 1 & 2). We also measured dopamine levels in the striatum to determine the severity of the lesion. All the animals shown in figures 1 and 2 had >90% depletion of dopamine on the lesioned side. As you can see in figure 1, there was no significant difference in FGF-2 levels between the lesioned and intact striatum for any age group. On the other hand, FGF-2 protein levels increased with age regardless if the measures were taken from the lesioned or intact striatum. A similar finding was observed in the ventral midbrain (Figure 2); no significant difference in FGF-2 protein values was detected within each age group while FGF-2 protein values between each age group were statistically significant and increased with age.

A curious finding occurred when we measured FGF-2 protein levels in the lesioned nigrostriatal pathway of young (4 month old) Sprague-Dawley rats. As already shown above, young (4 month old) F344BNF₁ rats did not show an increase expression of FGF-2 in the lesioned striatum or lesioned ventral midbrain at one week post-lesion.

On the other hand, FGF-2 protein in lesioned ventral midbrain of Sprague-Dawley rats was nearly double the amount measured in the intact ventral midbrain (Figure 3). This is consistent with a previous report by Chadi *et al.*¹¹ in which a sustained increase in FGF-2 mRNA expression was observed in the lesioned substantia nigra of Sprague-Dawley rats 2 weeks after the lesion. On the other hand, we did not observe a significant change in FGF-2 protein in the denervated striatum at 1 or 2 weeks post lesion. This is no surprise given Chadi *et al.* (1994) also reported FGF-2 mRNA in the denervated striatum

Figure 1

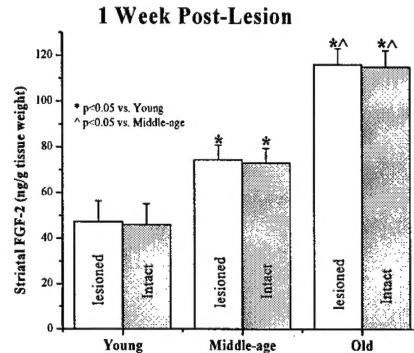


Figure 2

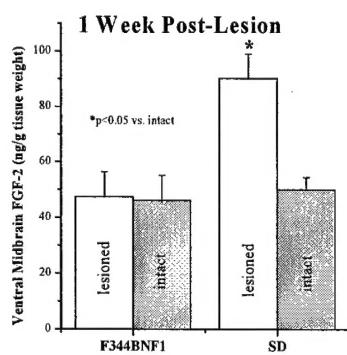
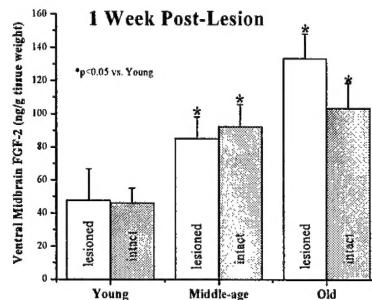


Figure 3

remained elevated for only 24 hours after the lesion. Moreover, FGF-2 protein remained up-regulated in the lesioned ventral midbrain 3 weeks post-lesion; at three weeks post-lesion, FGF-2 protein levels in the lesioned and intact ventral midbrain of young F344BNF₁ rats were statistically similar. This suggests that genetic differences within a species may play an important role for the regulation of neurotrophic factor activity, particularly in the neurodegenerative state.

In years 2-3 of this project we also performed a collaborative study with Dr. Timothy Collier in which we looked at changes in BDNF and GDNF in aging monkeys rendered hemiparkinsonian using the dopaminergic neurotoxin 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP). In this study we observed an age-related increase in neurotrophic activity; this was determined by taking soluble extracts from the brain tissue of aging monkeys and adding them to cultures of dopaminergic neurons (see Appendix 4 for details). We also determined that the increase of neurotrophic activity was not attributable to changes in GDNF or BDNF because these two factors showed either no change or decreases with age in monkeys. It is important to point out that in this monkey study the earliest time point neurotrophic factor activity was assessed in brain tissue was 12 weeks after the MPTP lesion. If you look at table 1, even in the rodent study we observed that the lesion-induced increases in BDNF or GDNF protein expression were only short-term events and that these two neurotrophic factors return to basal levels in the lesioned striatum or lesioned ventral midbrain by the 12th post-lesion week. Therefore, there is some consistency between the rodent and monkey studies; at least at the longer post-lesion time point. Given that our rodent study found an age-related increase in FGF-2 protein expression, it is unfortunate that we did not have these results prior to the commencement of the monkey study because we then could have incorporated measures of FGF-2 protein into the monkey study. When we compare the results from the rodent and monkey studies, we can speculate FGF-2 may be a likely candidate for one of the factors that mediates the increased neurotrophic activity in aging monkeys.

In situ hybridization studies were performed in collaboration with Dr. Kim Seroogy (co-investigator) and yielded several interesting age-related findings for the expression of dopaminergic or neurotrophic factor markers. For instance, we observed a significant age-related decline in the expression of tyrosine hydroxylase (TH) mRNA in the ventral midbrain. We also observed that the expression of erbB4 receptor mRNA showed a similar age-related decline; erbB4 receptor binds neuregulin and the neuregulins have been shown to exert neurotrophic support for dopaminergic neurons. Whether or not the decline in TH or erbB4 mRNA expression is directly related to an age-related loss of dopaminergic neurons has yet to be determined because of the numerous conflicting reports of age-related changes in dopaminergic neuron survival and function during the normal aging process^{1-7,9}. On the other hand, there does not appear to be significant age-related changes in the expression of mRNAs for other neurotrophic factor markers including BDNF, NT-3, trkB, or trkC during the normal aging process of the nigrostriatal system.

Experiments in year 2 were a continuation of the previous studies that showed age-related changes in neurotrophic factor expression following a neurotoxic lesion of the nigrostriatal pathway. Studies in the second year of this project focused on resolving an earlier problem and criticism related to our inability to determine the extent of the nigrostriatal lesion at time points immediately after the 6-OHDA lesion, *i.e.*, the post-

lesion time period between 3 days and 2 weeks post-lesion. During this post-lesion time interval behavioral measures [rotational or spontaneous motor tests] are unreliable because the nigrostriatal pathway typical undergoes progressive degeneration. On the other hand, the loss of dopamine occurs fairly rapidly after exposure to 6-OHDA. Given that our experimental design did not allow us to verify lesions using standard histological techniques because all brain tissue was subjected to an enzyme linked immunosorbent assay (ELISA), we devised a method of splitting the tissue sample for the ELISA analysis so that a portion of the sample could be used to detect dopamine levels using HPLC with electrochemical detection. Using this method we were able to correlate dopamine and neurotrophic factor protein levels in the same tissue sample (see Appendix 1). In most cases we observed lesions that produced a $\geq 75\%$ reduction of striatal dopamine generally tend to increase BDNF and GDNF protein levels in the ipsilateral striatum of young animals. Lesions producing $<60\%$ reduction of striatal dopamine general do not affect BDNF or GDNF protein levels in the ipsilateral striatum in young animals. Similar to our previous studies, we do not observe significant changes in striatal BDNF or GDNF protein levels in aged animals regardless of the changes in striatal dopamine levels.

During the first year we attempted to produce a traumatic lesion of the nigrostriatal pathway; this was proposed as an alternate method for lesioning the nigrostriatal pathway. Unfortunately, as we reported in the year 1 progress report, the technique of lesioning the medial forebrain bundle [which contains nigrostriatal fibers] using a Scouting knife did not yield good lesions. Our next set of experiments used the Scouting knife as a means to disrupt the dopaminergic terminal fields and observe the consequential changes in neurotrophic factor expression. This technique yield similar results to the medial forebrain bundle knife cut lesions: lesions were minimal. We have abandoned the Scouting knife cuts as a means to induce a traumatic lesion to the nigrostriatal pathway or to the dopaminergic terminal fields in the striatum. Thus, the studies designed to examine the effect of a traumatic lesion never yielded positive results in terms of changing neurotrophic factor activity and/or producing robust lesions within the nigrostriatal pathway.

Transplants of fetal dopaminergic neurons implanted into the denervated striatum at several different post-lesion time points show that after 1 week post-lesion or 4 weeks post-lesion, dopamine grafts exhibit the best survival and functional reinnervation than grafts implanted immediately following the lesion or when implantation is delayed until the 12th post-lesion week. Moreover, the survival and fiber outgrowth of transplanted fetal dopamine neurons correlated well with the concomitant changes in BDNF and GDNF protein expression within the denervated striatum of young adult rats¹⁰. More information for this study can be found in Appendix 3. Because of a shortage of aged F344BNF₁ hybrid rats at the NIA aging colony during year 3 of this project, we were unable to implant grafts into the aged brain at various post-lesion time points and then compare graft survival and fiber outgrowth between young and aged rats. We are hoping we can continue these studies beyond the end of this project period.

Key Research Accomplishments

- Expression of BDNF and GDNF protein in the denervated striatum has now been correlated to the changes in striatal dopamine levels; this is important because we can now state with some degree of confidence that we have successful lesions at the earlier post-lesion time points.
- The expression of FGF-2 in rat brain increases with age
- Striatal neurotrophic activity increases with age in monkeys; however, this increase in neurotrophic activity is not attributable to changes in GDNF or BDNF.
- Genetic differences within species may be an important factor for regulating the expression of neurotrophic factors because young Sprague-Dawley rats show a protracted up-regulation of FGF-2 in the lesioned substantia nigra following a degenerative lesion of the nigrostriatal pathway while young F344BNF₁ hybrid rats do not show an up-regulation of FGF-2.
- We have determined in young rats that the most optimal time and place to implant dopamine grafts would be 1-2 weeks post-lesion into the substantia nigra; this observation is based upon data showing an elevation of 3 neurotrophic factors (BDNF, GDNF, and FGF-2) within ventral midbrain during this period.

Reportable Outcomes/Bibliography

Yurek DM, Fletcher-Turner A (2001) Differential expression of GDNF, BDNF, and NT-3 in the aging nigrostriatal system following a neurotoxic lesion, *Brain Res.* **891**:228-235.

Yurek DM, Fletcher-Turner A (2002) Temporal changes in the neurotrophic environment of the denervated striatum as determined by the survival and outgrowth of grafted fetal dopamine neurons, *Brain Res.* **931**:126-134.

Collier TJ , Daley BF, Lipton JW, Chu Y, Ling ZD, Sortwell CE, Fletcher-Turner A, Yurek DM, Emborg ME, Blanchard BC (2003) Aging and the parkinsonian syndrome in MPTP-treated monkeys, *Society for Neuroscience 33rd Annual Meeting*, New Orleans, Louisiana.

COLLIER TJ, YUREK DM, FLETCHER-TURNER A, KORDOWER JH, SLADEK JR. JR, CARVEY PM, LING ZD (2004) Striatal trophic factor activity in aging MPTP-treated, *11th Annual American Society for Neural Transplantation & Repair*, Clearwater, Florida.

Collier TJ, Ling ZD, Carvey PM, Fletcher-Turner A, Yurek DM, Sladek JR, Kordower JH (2004) Striatal trophic factor activity in aging monkeys with unilateral MPTP-induced parkinsonism, *Exp. Neurol.*, in press.

Yurek DM, and Fletcher-Turner A (2004) Dynamic changes in FGF-2 in the normal and lesion nigrostriatal pathway, *submitted to Exp. Neurol.*

Results from this study help to provide preliminary data for a funded NIH grant entitled “Stem Cell Adaptability in Parkinson’s Disease” [NS 050311].

Conclusions

Results from years 1-3 support the hypothesis neurotrophic factors are transiently elevated in components of the basal ganglia following a neurotoxic lesion of the nigrostriatal pathway of young adult rats. We have determined that protein levels of three dopaminergic neurotrophic factors [BDNF, GDNF, FGF-2] elevate within the ventral midbrain of young rats during the 1-2 week period post-lesion. Although this site alone is not the most optimal site for transplanted dopamine neurons in terms of producing functional recovery in the rat model of Parkinson’s disease, placing grafts into this site will help us determine to what extent the expression of host neurotrophic factors play in the overall survival and functional integration of grafted dopaminergic neurons. A combination of intrastriatal and intranigral grafts at this same time point may yield the best results in terms of restoring motor function in lesioned rats.

References

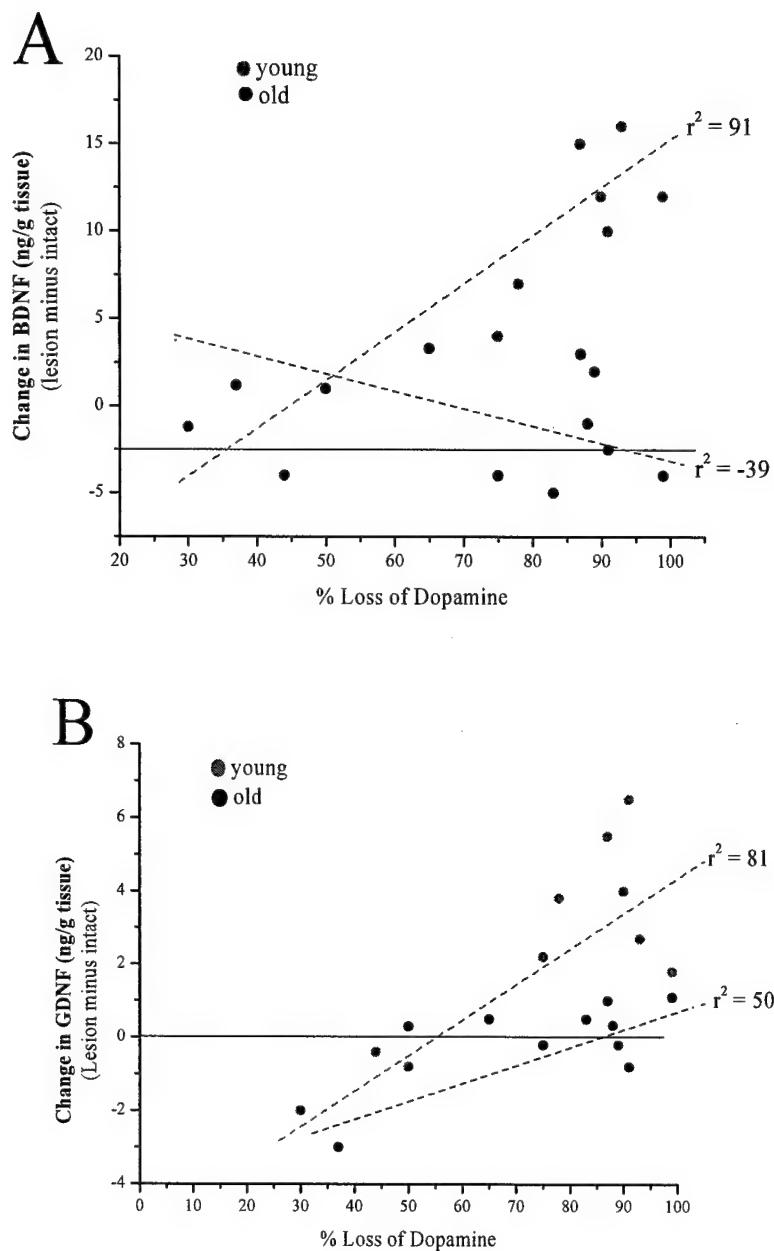
1. Burwell RD, Lawler CP, Gallagher M (1995) Mesostriatal dopamine markers in aged Long-Evans rats with sensorimotor impairment, *Neurobiol. Aging* **16**:175-186.
2. Fearnley JM, Lees AJ (1992) Aging and Parkinson’s disease: substantia nigra regional selectivity, *Brain* **114**:2283-2301.
3. Finch CE (1973) Catecholamine metabolism in the brains of ageing male mice, *Brain Res.* **52**:261-276.
4. Friedemann MN, Gerhardt GA (1992) Regional effects of aging on dopaminergic function in the Fischer 344 rat, *Neurobiol. Aging* **13**:325-332.
5. McGeer PL, McGeer EG (1977) Aging and extrapyramidal function, *Arch. Neurol.* **34**:33-35.
6. McNeill TH, Koek LL (1990) Differential effects of advancing age on neurotransmitter cell loss in the substantia nigra and striatum of C57BL/6N mice, *Brain Res.* **521**:107-117.

7. Watanabe H (1987) Differential decrease in the rate of dopamine synthesis in several dopaminergic neurons of aged rat brain, *Exp. Gerontol.* **22**:17-25.
8. Wong JY, Liberatore GT, Donnan GA, Howells DW (1997) Expression of brain-derived neurotrophic factor and trkB neurotrophin receptors after striatal injury in the mouse, *Exp. Neurol.* **148**:83-91.
9. Yurek DM, Hipkens SB, Hebert MA, Gash DM, Gerhardt GA (1998) Age-related decline in striatal dopamine release and motoric function in Brown Norway/Fischer 344 hybrid rats, *Brain Res.* **791**:246-256.
10. Yurek DM, Fletcher-Turner A (2002) Temporal changes in the neurotrophic environment of the denervated striatum as determined by the survival and outgrowth of grafted fetal dopamine neurons, *Brain Res.* **931**:126-134.
11. Chadi G, Cao Y, Pettersson RF, Fuxe K (1994) Temporal and spatial increase of astroglial basic fibroblast growth factor synthesis after 6-hydroxydopamine-induced degeneration of the nigrostriatal dopamine, *Neurosci.* **61**:891-910.

List of Personnel Employed by this Project:

Anita Fletcher-Turner
Charles Payne
Lee Dossett
Lixin Zhang
Stuart Lichtenberg
Jennifer Moore

Appendix 1



Correlation between the loss of striatal dopamine [extent of lesion] and the change in BDNF (A) or GDNF (B) protein levels in the denervated striatum at 2 weeks post-lesion. In (A) and (B) values above the solid black horizontal line represent “increases” in neurotrophic factor protein levels on the lesioned side relative to the intact side while values below the line represent “decreases” in neurotrophic factor protein levels. Dotted lines indicate the best linear fit for data in each age group [young = 4 month old, old = 30 month old].



Appendix 2

BRAIN
RESEARCH

Brain Research 891 (2001) 228–235

www.elsevier.com/locate/bres

Research report

Differential expression of GDNF, BDNF, and NT-3 in the aging nigrostriatal system following a neurotoxic lesion

David M. Yurek*, Anita Fletcher-Turner

Department of Surgery/Neurosurgery, University of Kentucky College of Medicine, Health Sciences Research Building, Lexington, Kentucky, KY 40536-0305, USA

Accepted 8 November 2000

Abstract

Protein levels for brain-derived neurotrophic factor (BDNF), neurotrophin-3 (NT-3), and glial cell line-derived neurotrophic factor (GDNF) were measured in the striatum and ventral midbrain of young and aged Brown Norway/F344 F1 (F344BNF₁) hybrid rats following a unilateral 6-hydroxydopamine (6-OHDA) lesion of the nigrostriatal pathway. At 2 weeks post-lesion, protein levels of BDNF and GDNF were higher in the denervated striatum when compared to the intact striatum for young (4–5 months old) but not old (31–33 months old) rats. Interestingly, in old rats BDNF protein in the denervated striatum was significantly lower than that measured in the intact striatum. At the same time point BDNF protein levels in the ventral midbrain were higher on the lesioned versus intact side for both young and old rats while no significant side differences were detected for GDNF protein in the ventral midbrain of young or old rats. No significant differences in NT-3 protein levels were detected between the lesioned and intact sides for striatal or ventral midbrain regions in either young or old brain. While no significant age effects were detected for BDNF or NT-3 protein, young rats showed higher GDNF protein levels in both the striatum (lesioned or intact) and ventral midbrain (lesioned or intact) than old rats. These data show that two endogenous neurotrophic factors, BDNF and GDNF, are differentially affected by a 6-OHDA lesion in the aging nigrostriatal system with young brain showing a significant compensatory increase of these two factors in the denervated striatum while no compensatory increase is observed in aged brain. © 2001 Elsevier Science B.V. All rights reserved.

Theme: Development and regeneration

Topic: Neurotrophic factors: expression and regulation

Keywords: Neurotrophin-3; Brain-derived neurotrophic factor; Glial cell line-derived neurotrophic factor; Neurotrophic factor; Parkinson's disease; Brown/Norway F334 F₁ hybrid rats; 6-hydroxydopamine; Dopamine

1. Introduction

Animal models of Parkinson's disease are typically produced by lesioning the nigrostriatal pathway with various neurotoxins, e.g., 6-hydroxydopamine (6-OHDA) or 1-methyl-4-phenyl-1,2,5,6-tetrahydropyridine (MPTP) [18,37]. These lesions consequentially produce a hallmark symptom of Parkinson's disease, e.g., a loss of midbrain dopamine neurons. There is accumulating evidence that these lesions may also induced a compensatory cascade of neurotrophic activity within the nigrostriatal system as a physiologic response to the loss of dopamine neurons in

young adult animals. This effect can be discerned from the results of the following studies. First, while extracts taken from the normal striatum enhance the survival and growth of cultured dopamine neurons [7,34], extracts taken from the lesioned striatum appear to provide more potent neurotrophic support. For example, striatal extracts taken from the lesioned striatum of young adult rats improve the survival of cultured dopamine neurons better than extracts taken from the normal striatum [3,21]. This effect has been extended to human dopamine neurons: cultures incubated with extracts from the caudate/putamen of patients with Parkinson's disease contained more tyrosine hydroxylase immunoreactive neurons than extracts obtained from aged controls [4]. Hida et al. demonstrated that striatal extracts taken from the lesioned striatum have stronger effects to hasten the differentiation of PC12D cells, promote neurite

*Corresponding author. Tel.: +1-859-257-8219; fax: +1-859-323-6343.

E-mail address: dyurek@pop.uky.edu (D.M. Yurek).

outgrowth, cell enlargement, and expression of voltage-dependent cation channels when compared to the effects of extracts taken from the normal striatum [13]. More recently, specific neurotrophic factors native to the striatum have been shown to increase following a neurotoxic lesion of the nigrostriatal pathway. In young adult rats with unilateral 6-OHDA lesions, brain-derived neurotrophic factor (BDNF) protein levels are significantly elevated in the lesioned striatum and lesioned ventral midbrain when compared to BDNF protein levels in the same brain regions on the intact side [41,43].

Recent studies have provided evidence that the increase in neurotrophic activity in the denervated striatum is not consistent across the age of the lesioned animals. Ling et al. recently reported that the trophic activity of tissue extracts taken from the lesioned striatum of rats is inversely correlated to the age of the rat [20]. Similarly, Kaseloo et al. reported that striatal extracts taken from the injured striatum of aged rats possessed a diminished capacity for inducing neurite outgrowth in cultures containing a dopamine-producing neuroblastoma cell line [16]. Our recent study showed a compensatory increase of BDNF in the lesioned striatum 4 weeks after the lesion in young but not old rats [41]. The results of these studies suggest young and old brain may respond differently to neurodegenerative events: old brain shows a diminished capacity to elicit compensatory neurotrophic mechanisms. This area of research has been relatively overlooked in animal models of Parkinson's disease.

The purpose of this study was to further characterize how protein levels for three different neurotrophic factors [BDNF, neurotrophin-3 (NT-3), and glial cell line-derived neurotrophic factor (GDNF)] are affected by a neurotoxic lesion of the nigrostriatal pathway in both young and aged rats.

2. Material and methods

2.1. Animals

Young (4–5-month-old, $n=21$) and old (31–33-month-old, $n=14$) male Brown Norway/F344 F1 hybrid rats (F344BNF₁) rats were obtained from the NIA Aging Colony. Animals were housed in environmentally regulated rooms and had free access to food and water for the duration of the study. All animal procedures were conducted in strict compliance with approved institutional protocols, and in accordance with the provisions for animal care and use described in the 'Guide for the Care and Use of Laboratory Animals' (NIH publication No. 86-23, NIH, 1985).

2.2. 6-Hydroxydopamine lesions

Male F344BNF₁ rats in each age group were given

unilateral 6-hydroxydopamine (6-OHDA) lesions of the nigrostriatal pathway; 6-OHDA (Sigma) was dissolved in 0.9% saline (containing 0.2% ascorbic acid) at a concentration of 2.0 µg/µl and stereotactically injected into the nigrostriatal pathway of anesthetized rats at a rate of 1.0 µl/min for 3 min. Each rat received two injections of 6-OHDA: one in the vicinity of the medial forebrain bundle (AP –4.3, ML 1.2, DV –7.5) and the other in the rostral pars compacta of the substantia nigra (AP –4.8, ML 1.5, DV –7.5); all coordinates reported in this study represent millimeter adjustments from bregma (AP, ML) and below the dural surface (DV) with the top of the skull in a flat position. This technique routinely produces complete lesions of A9 and A10 midbrain regions, and near-complete denervation of dopaminergic fibers innervating the ipsilateral striatum [33].

2.3. Quantification of neurotrophic factors by an enzyme-linked immunosorbent assay (ELISA)

Animals were euthanatized 2 weeks after the 6-OHDA lesion. Brains were removed, the striatal and substantia nigra/ventral tegmental area (SN/VTA) brain regions were dissected on ice, and the samples were then stored at –80°C. Subsequently, each tissue sample was homogenized in 400-µl volumes of homogenate buffer [400 mM NaCl, 0.1% Triton-X, 2.0 mM EDTA, 0.1 mM benzethonium chloride, 2.0 mM benzamidine, 0.1 mM PMSF, Aprotinin (9.7 TIU/ml), 0.5% BSA, 0.1 M phosphate buffer, pH=7.4]. The homogenate was centrifuged for 10 min at 10 000×g at 4°C. The homogenate was divided into 100-µl duplicate samples and neurotrophic factor content was determined using an antibody sandwich format: extracted neurotrophic factors from each sample were captured with a monoclonal antibody against BDNF, GDNF, or NT-3; the captured BDNF was then bound to a second, specific, polyclonal antibody (pAb) against BDNF, GDNF, or NT-3. After washing, the amount of specifically bound pAb was detected using a species-specific anti-IgY antibody conjugated to horseradish peroxidase (HRP) as a tertiary reactant. Unbound conjugate was removed by washing and, following an incubation period with a chromogenic substrate, the color change was measured in a microplate reader (450 nm). The amount of neurotrophic factor was proportional to the color change generated in an oxidation-reduction reaction.; the Promega E_{max}TM ImmunoAssay System was used for the detection of all three neurotrophic factors. The reliability of the neurotrophic factor measures ranged from 97 to 99% based upon regression analysis.

2.4. Statistical analysis

Comparison of side differences (lesion vs. intact) for neurotrophic factor protein levels were made using a paired *t*-test for each age group. Analysis of variance

(ANOVA) was used to analyze age differences in the data. The alpha level was set to 0.05.

3. Results

3.1. NT-3

There was no significant effect of age on NT-3 protein levels in the intact or lesioned striatum [$F(1,16)=0.27$, $P>0.05$], or in the lesioned or intact ventral midbrain [$F(1,16)=0.89$, $P>0.05$]. Neurotrophin-3 levels in the lesioned striatum were not significantly different from the intact striatum for either young ($P=0.70$) or old rats ($P=0.63$). Similarly, no significant differences in NT-3 were detected between the lesioned and intact ventral midbrain for young ($P=0.51$) or old rats ($P=0.14$). These data are summarized in Fig. 1.

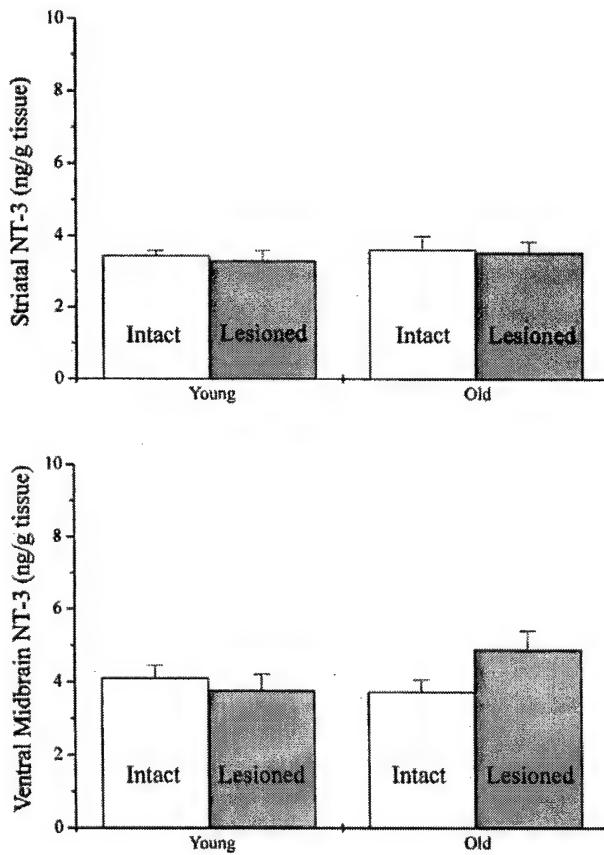


Fig. 1. NT-3 protein levels (ng/g tissue) in the striatum (top) or ventral midbrain (bottom) of young ($n=5$, 4–5-month-old) or old ($n=5$, 31–33-month-old) F344BNF₁ rats. Animals were given a unilateral 6-OHDA lesion and sacrificed 2 weeks later. Tissue was dissected from the striatum and ventral midbrain from both the lesioned and intact hemispheres and subjected to ELISA analysis.

3.2. BDNF

Fig. 2 shows a comparison of mean BDNF values in the lesioned or intact striatum of young or old rats. Two weeks after the lesion young rats show a higher level of BDNF protein in the lesioned striatum when compared to the intact striatum ($P=0.01$). On the other hand, in old rats BDNF protein levels are significantly lower in the lesioned striatum than in the intact striatum ($P<0.001$). A significant effect of age on striatal BDNF levels [$F(1,47)=6.32$, $P=0.016$] was detected between the lesioned striatum of young and old rats ($P<0.05$) while the effect of age was not significant for the intact striatum ($P>0.05$).

Comparison of BDNF protein levels in the ventral midbrain of young and old rats show significantly higher levels of BDNF protein in the lesioned vs. the intact side for both young ($P=0.01$) and old ($P=0.038$) rats. There

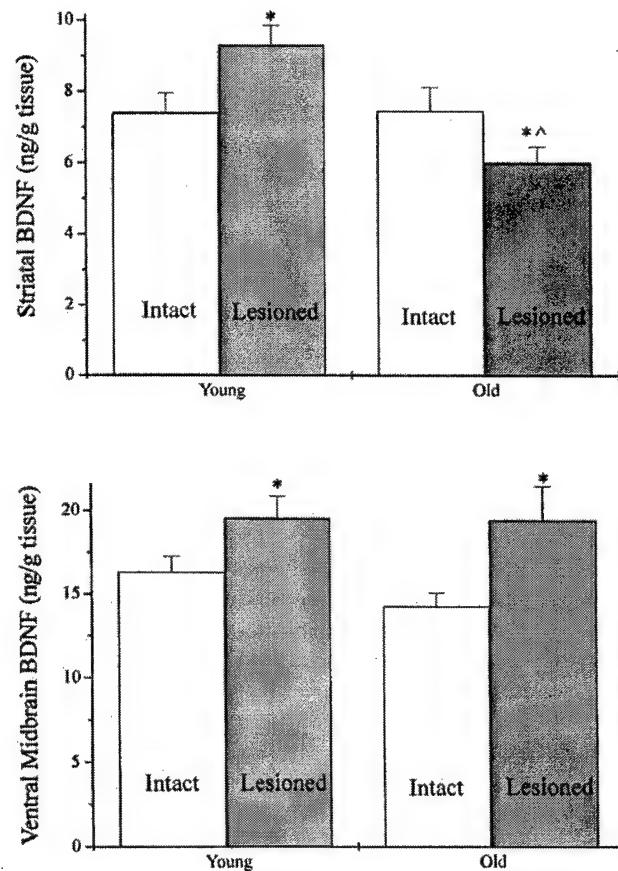


Fig. 2. BDNF protein levels (ng/g tissue) in the striatum (top) or ventral midbrain (bottom) of young ($n=16$, 4–5-month-old) or old ($n=9$, 31–33-month-old) F344BNF₁ rats. Animals were given a unilateral 6-OHDA lesion and sacrificed 2 weeks later. Tissue was dissected from the striatum and ventral midbrain from both the lesioned and intact hemispheres and subjected to ELISA analysis. * $P<0.05$, intact vs. lesioned; ^ $P<0.05$, young vs. old.

was no significant effect of age on BDNF protein levels in ventral midbrain on either side [$F(1,47)=0.57, P=0.452$].

3.3. GDNF

The results for GDNF analysis are shown in Fig. 3. Two weeks after the lesion young rats showed higher GDNF protein levels in the lesioned striatum than in the intact striatum ($P<0.001$). In old rats GDNF protein levels in the lesioned and intact striatum were not statistically different from one another ($P=0.98$). Analysis of variance revealed a significant effect of age on GDNF protein levels in the striatum [$F(1,49)=28.14, P<0.001$]. The lesioned striatum of young rats contained higher levels of GDNF protein than the lesioned striatum of old rats ($P<0.05$), and the intact striatum of young rats contained higher levels of

GDNF protein than the intact striatum of old rats ($P<0.05$).

We observed only a slight but non-significant increase of GDNF protein on the lesioned side in the ventral midbrain of young rats ($P=0.41$). Similarly, midbrain levels of GDNF in the lesioned and intact sides were not significantly different from one another ($P=0.80$). The effect of age on ventral midbrain GDNF levels was significant [$F(1,49)=21.45, P<0.001$]. The lesioned ventral midbrain of young rats contained higher levels of GDNF protein than the lesioned ventral midbrain of old rats ($P<0.05$), and the intact ventral midbrain of young rats contained higher levels of GDNF protein than the intact ventral midbrain of old rats ($P<0.05$).

4. Discussion

The results of this study provide evidence that the expression of three different neurotrophic factors within the mesostriatal system are differentially affected by a neurotoxic lesion of the nigrostriatal pathway during aging. In young rats the expression of two neurotrophic factors, BDNF and GDNF, increase within the denervated 2 weeks following a nigrostriatal lesion while NT-3 protein levels in the denervated striatum did not change significantly. In aged rats protein expression of BDNF was significantly reduced in the denervated striatum while GDNF and NT-3 did not change significantly. Protein levels of BDNF in the lesioned ventral midbrain were significantly higher than those observed in the intact ventral midbrain in both young and aged rats. Glial cell line-derived neurotrophic factor was the only one of the three proteins studied to show an age-related reduction in both the lesioned and intact mesostriatal system of F344BNF₁ rats.

Glial cell line-derived neurotrophic factor is a distant member of the TGF- β family of neurotrophic factors and is expressed in the substantia nigra and striatum, as well as other brain regions, in both the developing and adult brain of rats and humans [25,26,31]. The functional receptor for GDNF is a two-component receptor complex that consists of a ligand binding GDNF family receptor, GDNFR- α 1 or GDNFR- α 2, and the receptor protein kinase ret [10,15,35,36]. In rats, dopamine neurons express both GDNFR- α mRNA and ret mRNA during development and throughout adulthood while only GDNFR- α mRNA is expressed in the ventral striatum during development [22]. The ret protein has been identified immunohistochemically to be on dopamine neurons in adult rat brain [22]. Thus the functional receptor of GDNF appears to be present in dopamine neurons throughout the lifetime of rats. Injury to dopamine neurons or the striatum can elicit changes in the expression of GDNF or its receptor. For instance, while GDNF mRNA expression is not observed in the striatum of normal adult rats [32], its expression in the striatum can

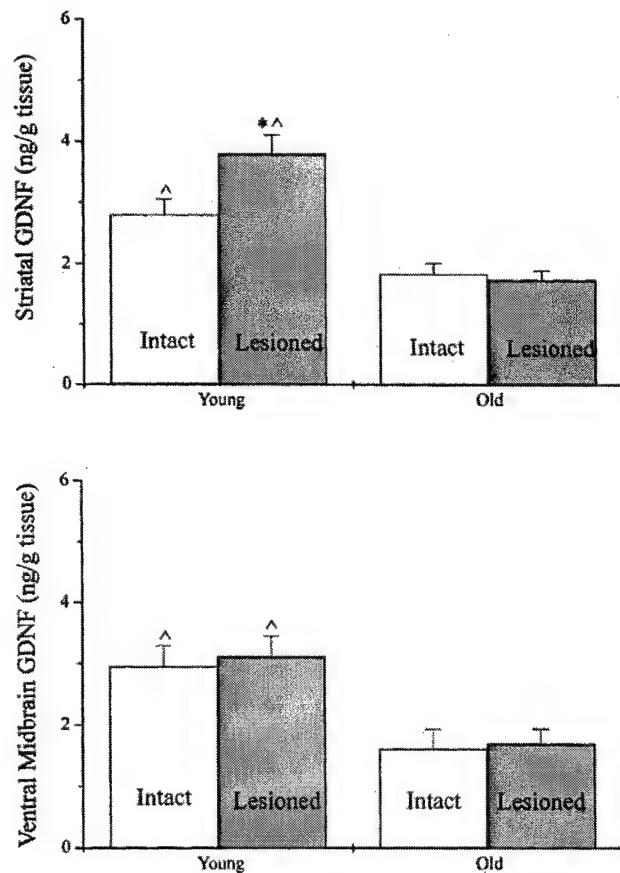


Fig. 3. GDNF protein levels (ng/g tissue) in the striatum (top) or ventral midbrain (bottom) of young ($n=16$, 4–5-month-old) or old ($n=9$, 31–33-month-old) F344BNF₁ rats. Animals were given a unilateral 6-OHDA lesion and sacrificed 2 weeks later. Tissue was dissected from the striatum and ventral midbrain from both the lesioned and intact hemispheres and subjected to ELISA analysis. * $P<0.05$, intact vs. lesioned; ^ $P<0.05$, young vs. old.

be induced by status epilepticus in motor and limbic brain regions [27]. In mice, MPTP treatment does not change the expression of GDNF mRNA in the denervated striatum [14] while mechanical injury to the striatum elicits an increased expression of GDNF mRNA [19]. Ischemic brain injury via occlusion of the middle cerebral artery can induce GDNFR- α 1 and ret in the striatum [17]. In the present study we observe that GDNF protein is increased in the denervated striatum of young rats. This increased expression of GDNF protein, GDNF mRNA, and GDNFR mRNA in the denervated striatum may be a compensatory neurotrophic response to the loss of striatal afferents and/or direct injury to the striatum.

Previous studies have established that the neurotrophins BDNF and NT-3, along with their receptors [trkB and trkC], are expressed within the mesostriatal system during development and throughout adulthood [11,24,29,30]. The profuse expression of these neurotrophins and their receptors in the ventral midbrain during development suggests that these two neurotrophins may play an important role for the differentiation, maturation, and target innervation of dopamine neurons. The sustained expression of these neurotrophins and their receptors in adult brain suggests a role for the maintenance and repair of the mesostriatal system throughout the lifetime of the organism. Injury to the mesostriatal system alters the expression of neurotrophins and neurotrophin receptors in young adult rodents. For instance, transection of the medial forebrain bundle induces an up-regulation of trkB protein in the ipsilateral striatum [8]. The expression of the full-length form of trkB mRNA in the denervated striatum is up-regulated at 2 weeks [23] and 8 weeks [42] after the nigrostriatal pathway is neurotoxically lesioned with 6-OHDA. Following a mechanical injury to mouse striatum, the expression of BDNF mRNA and the truncated, but not full-length, form trkB mRNA are increased in the injured striatum [39]. The results of the present study along with those reported by Zhou et al. [43] show an increase of BDNF in the denervated striatum in young adult rats. Taken together, the results of the aforementioned studies provide convincing evidence that the expression of BDNF and trkB receptor increase as a consequence of striatal injury or a neurotoxic lesion of the nigrostriatal pathway of young adult rodents. On the other hand, we did not observe a change in striatal NT-3 protein levels 2 weeks following a 6-OHDA lesion in either young or old rats, nor does the expression of trkC mRNA change significantly in the denervated striatum of young adult rats 2 weeks after a 6-OHDA lesion [23]; it is noteworthy that unlike the increase of trkB mRNA expression 8 weeks after a 6-OHDA lesion, the expression of trkC mRNA is actually decreased in the denervated striatum of young rats [42]. In this study we observe the two neurotrophins, BDNF and NT-3, are differentially expressed in the denervated striatum of young adult rats in response to a lesion of the nigrostriatal pathway. In aged rats, however, we provide

evidence that at least three neurotrophic factors [BDNF, NT-3, or GDNF] do not show a compensatory increase following a 6-OHDA lesion of the nigrostriatal pathway.

The lack of a compensatory increase in BDNF or GDNF within the lesioned striatum of aged rats is consistent with other neurotrophic factors in other denervated brain regions. For example, following a medial septal lesion only young rats demonstrated significant increases in sympathetic sprouting and NGF-like activity in the hippocampus [28]; this suggests that the age-related deficit in sympathetic sprouting may result from an attenuated neurotrophic response to hippocampal denervation, similar to what we observe in the denervated striatum of old rats. It still remains unclear why compensatory neurotrophic mechanisms may diminish with age.

Interestingly, we observed better survival, fiber outgrowth, and functional reinnervation for fetal ventral mesencephalic tissue transplants when the tissue is implanted 1 or 4 weeks after a 6-OHDA lesion rather than 1 week before the 6-OHDA lesion [40]. Not surprisingly, the post-lesion period when transplant development is robust also coincides with the post-lesion period when at least two neurotrophic, BDNF and GDNF, are increased in the denervated striatum. The expression of other neurotrophic factors, e.g., bFGF [5], are increased in the denervated striatum immediately following a nigrostriatal pathway lesion. A critical period for the survival of transplanted dopamine neurons occurs during the first 4 days immediately following implantation [9]. During this critical period, fetal neurons implanted into the intact striatum 1 week prior to a 6-OHDA lesion would not be exposed to the same enriched neurotrophic environment as those implanted after the lesion. The results of this study strongly suggest that the striatal environment of the intact striatum may not be as conducive to the survival, fiber outgrowth, and function of transplants as is the lesioned striatum. This is consistent with the results of the present study and with the results of previous studies that demonstrated prior injury to the striatum improves the survival of fetal dopamine implants [1,2]. Up-regulation of neurotrophic activity in the injured or denervated striatum of young animals may actually be beneficial to the survival and functional reinnervation of implanted donor cells. In old rats, however, we did not observe an increase of BDNF or GDNF protein levels in the denervated striatum. This may be a significant finding in terms of the success that fetal cell implants may have in aged brain. The recent study completed by Collier et al. [6] provides compelling evidence that transplants in the aged brain show a poorer survival rate and less functional compensation than transplants into young brain; therefore the age of the transplant recipient may be an important determinant for the survival and/or functional effects of fetal mesencephalic transplants. Furthermore, a recently completed clinical trial using dopamine neuron implants in Parkinson's patients concluded that patients under 60 years of age exhibited

statistically significant clinical benefits from transplants while patients older than 60 years of age did not [12]. The results of the present study provide initial evidence that the denervated striatum of young rats may become neurotrophically enriched following a degenerative lesion of the nigrostriatal pathway and thus provide a more nurturing environment for transplant development than in aged brain.

In the present study we observed significantly lower levels of BDNF in the lesioned striatum than in the intact striatum of old rats at the 2-week post-lesion time point. In a previous study we reported that BDNF protein levels in the lesioned and intact striatum of aged rats were not significantly different from one another at the four week post-lesion time point [41]. The results of the present study are not entirely inconsistent with our previous report, however. Previously we reported that at the four week post-lesion time point, the most severely lesioned old rats tended to show a greater reduction of BDNF in the denervated striatum than old rats with less severe lesions.

While previous studies have shown a reduction in BDNF mRNA labeling within the substantia nigra following a lesion of the dopamine cell bodies [29,30,38], we observe an increase of BDNF protein in the lesioned ventral midbrain of both young and old rats. Seroogy et al. [29,30] report approximately 20% of BDNF mRNA labeling in the ventral mesencephalon occurs in non-dopaminergic cell bodies, and Venero et al. [38] report a continued expression of BDNF mRNA labeling within the ventral tegmental area and pars lateralis of the substantia nigra following a 6-OHDA lesion. Taken together, these data provide evidence that BDNF mRNA is localized to dopaminergic and non-dopaminergic cell bodies within the ventral mesencephalon. The increase of BDNF protein within the lesioned ventral midbrain may result from a local compensatory reaction to the lesion by non-dopaminergic neurons and a concomitant accumulation of BDNF that might occur after dopamine neurons, which normally bind and take up BDNF, are lost as a result of the lesion. The increase in BDNF protein in the lesioned ventral midbrain of young animals are consistent with the increase of BDNF content in the lesioned substantia nigra observed 2 weeks [43] and 4 weeks [41] after the lesion.

Because we were unable to assess the degree of the 6-OHDA lesion prior to obtaining our samples, it is possible that our final analysis of the data included samples taken from animals with incomplete or poor lesions. The short interval between the time the animals were lesioned and the time the animals were sacrificed did not allow us to use conventional tests to accurately assess lesion severity, e.g., amphetamine- or apomorphine-induced rotational behavior. In addition, no tissue samples were available for the determination of dopamine content in either the substantia nigra or striatum because all samples were used for ELISA analysis. This may be one explanation why the difference in BDNF protein levels between the lesioned and intact striata at 2 weeks post-lesion was

not as great as that observed 4 weeks post-lesion [41]; at 4 weeks post-lesion, animals with no evidence of a lesion were excluded from the study. Another explanation for this phenomenon is that BDNF protein levels in the denervated striatum increase progressively following a nigrostriatal pathway lesion. In order to determine whether the increase of lesion-induced neurotrophic activity is progressive, transient, or both, the time course of this phenomenon needs to be more fully characterized.

In conclusion, neurotoxic lesion of the nigrostriatal pathway affects the expression of several specific neurotrophic factors differentially, and the expression is also dependent upon the age of the animal. Both BDNF and GDNF protein levels in the lesioned striatum are increased 2 weeks following a 6-OHDA lesion whereas these same two neurotrophic factors do not show a compensatory increase in the lesioned striatum of old rats. The expression of GDNF shows an age-related decline in both the lesioned and intact striatum. The results of this study provide evidence that young animals show an enhanced neurotrophic response to a neurotoxic lesion that is not observed in older animals. The differential expression of these neurotrophic factors may have a direct effect on the success of therapies which use cellular implants to correct neurodegenerative disorders, particularly if the cellular implants are dependent upon neurotrophic factors for differentiation, survival, and the maintenance of function.

Acknowledgements

This research was support by NS35890 and the NIA Pilot Program.

References

- [1] R.A. Bakay, M.S. Fiandaca, K.M. Sweeney, H.J. Colbassani Jr., D.C. Collins, Delayed stereotactic transplantation technique in non-human primates, *Prog. Brain Res.* 78 (1988) 463–471.
- [2] A. Björklund, U. Stenevi, Reconstruction of the nigrostriatal dopamine pathway by intracerebral nigral transplants, *Brain Res.* 177 (1979) 555–560.
- [3] P.M. Carvey, D.H. Lin, C.J. Faselis, J.K. Notermann, Z.D. Ling, Loss of striatal DA innervation increases striatal trophic activity directed at DA neurons in culture, *Exp. Neurol.* 140 (1996) 184–197.
- [4] P.M. Carvey, L.R. Ptak, S.T. Nath, D.K. Sierens, E.J. Mufson, C.G. Goetz, H.L. Klawans, Striatal extracts from patients with Parkinson's disease promote dopamine neuron growth in mesencephalic cultures, *Exp. Neurol.* 120 (1993) 149–152.
- [5] G. Chadi, Y. Cao, R.F. Pettersson, K. Fuxe, Temporal and spatial increase of astrogial basic fibroblast growth factor synthesis after 6-hydroxydopamine-induced degeneration of the nigrostriatal dopamine neurons, *Neuroscience* 61 (1994) 891–910.
- [6] T.J. Collier, C.E. Sortwell, B.F. Daley, Diminished viability, growth, and behavioral efficacy of fetal dopamine neuron grafts in aging rats with long-term dopamine depletion: an argument for neurotrophic supplementation, *J. Neurosci.* 19 (1999) 5563–5573.

- [7] R. Dal Toso, O. Giorgi, C. Soranzo, G. Kirschner, G. Ferrari, M. Favaron, D. Benvegnu, D. Presti, S. Vicini, G. Toffano et al., Development and survival of neurons in dissociated fetal mesencephalic serum-free cell cultures: I. Effects of cell density and of an adult mammalian striatal-derived neuronotrophic factor (SDNF), *J. Neurosci.* 8 (1988) 733–745.
- [8] M. Dragunow, N. Butterworth, H. Waldvogel, R.L. Faull, L.F. Nicholson, Prolonged expression of Fos-related antigens, Jun B and TrkB in dopamine-denervated striatal neurons, *Mol. Brain Res.* 30 (1995) 393–396.
- [9] W.-M. Duan, H. Widner, P. Brundin, Temporal pattern of host responses against intrastratal grafts of syngeneic, allogeneic or xenogeneic embryonic neuronal tissue in rats, *Exp. Brain Res.* 104 (1995) 227–242.
- [10] P. Durbec, C.V. Marcos-Gutierrez, C. Kilkenny, M. Grigoriou, K. Wartiovaara, P. Suvanto, D. Smith, B. Ponder, F. Costantini, M. Saarma et al., GDNF signalling through the Ret receptor tyrosine kinase, *Nature* 381 (1996) 789–793.
- [11] E. Escandon, D. Soppet, A. Rosenthal, J.L. Mendoza-Ramirez, E. Szonyi, L.E. Burton, C.E. Henderson, L.F. Parada, K. Nikolic, Regulation of neurotrophin receptor expression during embryonic and postnatal development, *J. Neurosci.* 14 (1994) 2054–2068.
- [12] C.R. Freed, R.E. Breeze, P.E. Greene, D. Eidelberg, W. Tsai, J. Murphy, J.O. Trojanowski, J.M. Rosenstein, S. Fahn, Double-blinded placebo-controlled human fetal dopamine cell transplants in advanced Parkinson's disease, *Soc. Neurosci. Abstr.* 25 (1999) 212.
- [13] H. Hida, A. Fukuda, I. Fujimoto, Y. Shimano, K. Nakajima, T. Hashitani, H. Nishino, Dopamine-denervation enhances the trophic activity in striatum: evaluation by morphological and electrophysiological development in PC12D cells, *Neurosci. Res.* 28 (1997) 209–221.
- [14] T. Inoue, J. Tsui, N. Wong, S.Y. Wong, F. Suzuki, Y.N. Kwok, Expression of glial cell line-derived neurotrophic factor and its mRNA in the nigrostriatal pathway following MPTP treatment, *Brain Res.* 826 (1999) 306–308.
- [15] S. Jing, D. Wen, Y. Yanbin, P.L. Holst, Y. Luo, M. Fang, R. Tamir, L. Antonio, Z. Hu, R. Cupples, J.-C. Louis, S. Hu, B.W. Altrock, G.M. Fox, GDNF-induced activation of the ret protein tyrosine kinase is mediated by GDNFR- α , a novel receptor for GDNF, *Cell* 85 (1996) 1113–1124.
- [16] P.A. Kaseloo, L. Agnieszka, H. Asada, T.A. Barone, R.J. Plunkett, In vitro assessment of neurotrophic activity from the striatum of aging rats, *Neurosci. Lett.* 218 (1996) 157–160.
- [17] H. Kitagawa, C. Sasaki, W.R. Zhang, K. Sakai, Y. Shiro, H. Warita, Y. Mitsumoto, T. Mori, K. Abe, Induction of glial cell line-derived neurotrophic factor receptor proteins in cerebral cortex and striatum after permanent middle cerebral artery occlusion in rats, *Brain Res.* 834 (1999) 190–195.
- [18] J.W. Langston, P. Ballard, Parkinsonism induced by 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP): implications for treatment and the pathogenesis of Parkinson's disease, *Can. J. Neurol. Sci.* 11 (1984) 160–165.
- [19] G.T. Liberatore, J.Y. Wong, M.J. Porritt, G.A. Donnan, D.W. Howells, Expression of glial cell line-derived neurotrophic factor (GDNF) mRNA following mechanical injury to mouse striatum, *Neuroreport* 8 (1997) 3097–3101.
- [20] Z.D. Ling, T.J. Collier, C.E. Sortwell, J.W. Lipton, T.Q. Vu, H.C. Robie, P.M. Carvey, Striatal trophic activity is reduced in the aged rat brain, *Brain Res.* 856 (2000) 301–309.
- [21] K. Niijima, M. Araki, M. Ogawa, I. Nagatsu, F. Sato, H. Kimura, M. Yoshida, Enhanced survival of cultured dopamine neurons by treatment with soluble extracts from chemically deafferentiated striatum of adult rat brain, *Brain Res.* 528 (1990) 151–154.
- [22] C.A. Nosrat, A. Tomac, B.J. Hoffer, L. Olson, Cellular and developmental patterns of expression of Ret and glial cell line-derived neurotrophic factor receptor alpha mRNAs, *Exp. Brain Res.* 115 (1997) 410–422.
- [23] S. Numan, K. Seroogy, Increased expression of trkB mRNA in rat caudate-putamen following 6-OHDA lesions of the nigrostriatal pathway, *Eur. J. Neurosci.* 9 (1997) 489–495.
- [24] S. Numan, K.B. Seroogy, Expression of trkB and trkC mRNAs by adult midbrain dopamine neurons: a double-label in situ hybridization study, *J. Comp. Neurol.* 403 (1999) 295–308.
- [25] N.A. Pochon, A. Menoud, J.L. Tseng, A.D. Zurn, P. Aebsicher, Neuronal GDNF expression in the adult rat nervous system identified by in situ hybridization, *Eur. J. Neurosci.* 9 (1997) 463–471.
- [26] D.G. Schaar, B.-A. Sieber, C.F. Dreyfus, I.B. Black, Regional and cell-specific expression of GDNF in rat brain, *Exp. Neurol.* 124 (1993) 368–371.
- [27] R. Schmidt-Kastner, A. Tomac, B. Hoffer, S. Bektesh, B. Rosenzweig, L. Olson, Glial cell-line derived neurotrophic factor (GDNF) mRNA upregulation in striatum and cortical areas after pilocarpine-induced status epilepticus in rats, *Mol. Brain Res.* 26 (1994) 325–330.
- [28] S.A. Scott, S. Liang, J.A. Weingartner, K.A. Crutcher, Increased NGF-like activity in young but not aged rat hippocampus after septal lesions, *Neurobiol. Aging* 15 (1994) 337–346.
- [29] K. Seroogy, C. Gall, Expression of neurotrophins by midbrain dopaminergic neurons, *Exp. Neurol.* 124 (1993) 119–128.
- [30] K. Seroogy, K.H. Lundgren, T. Tran, K.M. Guthrie, P.J. Isackson, C. Gall, Dopaminergic neurons in rat ventral midbrain express brain-derived neurotrophic factor and neurotrophic-3 mRNAs, *J. Comp. Neurol.* 342 (1994) 321–334.
- [31] J.E. Springer, X. Mu, L.W. Bergman, J.Q. Trojanowski, Expression of GDNF mRNA in rat and human nervous tissue, *Exp. Neurol.* 127 (1994) 167–170.
- [32] I. Strömberg, L. Björklund, M. Johansson, A. Tomac, F. Collins, L. Olson, B.J. Hoffer, C. Humpel, Glial cell line-derived neurotrophic factor is expressed in the developing but not adult striatum and stimulates developing dopamine neurons in vivo, *Exp. Neurol.* 124 (1993) 401–421.
- [33] J. Thomas, J. Wang, H. Takubo, J. Sheng, S. de Jesus, K.S. Bankiewicz, A 6-hydroxydopamine-induced selective Parkinsonian rat model: further biochemical and behavioral characterization, *Exp. Neurol.* 126 (1994) 159–167.
- [34] Y. Tomozawa, S.H. Appel, Soluble striatal extracts enhance development of mesencephalic dopaminergic neurons in vitro, *Brain Res.* 399 (1986) 111–124.
- [35] J.J. Treanor, L. Goodman, F. de Sauvage, D.M. Stone, K.T. Poulsen, C.D. Beck, C. Gray, M.P. Armanini, R.A. Pollock, F. Hefti, H.S. Phillips, A. Goddard, M.W. Moore, A. Buj-Bello, A.M. Davies, N. Asai, M. Takahashi, R. Vandlen, C.E. Henderson, A. Rosenthal, Characterization of a multicomponent receptor for GDNF, *Nature* 382 (1996) 80–83.
- [36] M. Trupp, N. Belluardo, H. Funakoshi, C.F. Ibanez, Complementary and overlapping expression of glial cell line-derived neurotrophic factor (GDNF), c-ret proto-oncogene, and GDNF receptor-alpha indicates multiple mechanisms of trophic actions in the adult rat CNS, *J. Neurosci.* 17 (1997) 3554–3567.
- [37] U. Ungerstedt, T. Ljungberg, G. Steg, Behavioral, physiological, and neurochemical changes after 6-hydroxydopamine-induced degeneration of the nigro-striatal dopamine neurons, *Adv. Neurol.* 5 (1974) 421–426.
- [38] J.L. Venero, K.D. Beck, F. Hefti, 6-Hydroxydopamine lesions reduce BDNF mRNA levels in adult rat brain substantia nigra, *NeuroReport* 5 (1994) 429–432.
- [39] J.Y. Wong, G.T. Liberatore, G.A. Donnan, D.W. Howells, Expression of brain-derived neurotrophic factor and TrkB neurotrophin receptors after striatal injury in the mouse, *Exp. Neurol.* 148 (1997) 83–91.
- [40] D.M. Yurek, A. Fletcher-Turner, Fiber outgrowth and survival of fetal dopamine grafts is dependent upon implantation time relative to the time the nigrostriatal pathway is neurotoxically lesioned, submitted (2000).

- [41] D.M. Yurek, A. Fletcher-Turner, Lesion-induced increase of BDNF is greater in the striatum of young versus old rat brain, *Exp. Neurol.* 161 (2000) 392–396.
- [42] D.M. Yurek, K.B. Seroogy, Differential expression of neurotrophin and neurotrophin receptor mRNAs in and adjacent to fetal midbrain grafts implanted into the dopamine-denervated striatum, *J. Comp. Neurol.* 423 (2000) 462–473.
- [43] J. Zhou, B. Pliego-Rivero, H.F. Bradford, G.M. Stern, The BDNF content of postnatal and adult rat brain: the effects of 6-hydroxy-dopamine lesions in adult brain, *Dev. Brain Res.* 97 (1996) 297–303.



Appendix 3

Brain Research 931 (2002) 126–134

BRAIN
RESEARCH

www.elsevier.com/locate/bres

Research report

Temporal changes in the neurotrophic environment of the denervated striatum as determined by the survival and outgrowth of grafted fetal dopamine neurons

David M. Yurek*, Anita Fletcher-Turner

Department of Surgery/Neurosurgery, University of Kentucky College of Medicine, Health Sciences Research Building, Lexington, KY 40536-0305,
USA

Accepted 12 November 2001

Abstract

There is growing evidence that the neurotrophic environment of the denervated striatum may change with time following a lesion of the nigrostriatal pathway in young adult rats. To test this hypothesis, we implanted fetal dopamine grafts into the striatum at several different time points relative to the nigrostriatal pathway lesion and allowed the grafts to integrate with the host for a period of 1 month; subsequently, we observed the function and morphology of the dopamine grafts. Fetal grafts were implanted at the following time points relative to the lesion: 1 week before (−1 Week), at the same time (Week 0), 1 week after (1 Week), 4 weeks after (4 Weeks), or 12 weeks after (12 Weeks). Amphetamine-induced rotational behavior was assessed 4 weeks after grafting for all groups. Rotational scores indicate that grafts for the 1 Week group showed the greatest reversal of amphetamine-induced rotational behavior that was also significantly greater than the scores for the −1 Week group. Morphological analysis revealed that grafts in the Week 0, 1 Week and 4 Weeks groups showed a significantly larger area of tyrosine hydroxylase-positive (TH+) fiber outgrowth than in the −1 Week group, while fiber outgrowth for the 12 Weeks group was significantly lower than for the 1 Week group. Cell count analysis for TH+ neurons within the graft indicate a significantly greater number of TH+ neurons in grafts for the 1 Week group than in grafts for the −1 Week. The results of this study suggest that neurotoxic lesions may induce a compensatory increase in neurotrophic activity within the denervated striatum of young rats that is conducive to the survival and outgrowth of fetal dopamine grafts. These data also correlate well with reports that the expression of several specific dopaminergic neurotrophic factors within the striatum increase following a neurotoxic lesion of the nigrostriatal pathway in young adult rats. © 2002 Elsevier Science B.V. All rights reserved.

Theme: Development and regeneration

Topic: Transplantation

Keywords: Dopamine; Neural transplantation; Parkinson's disease; Neurotrophic factor; Glial cell line-derived neurotrophic factor; Brain-derived neurotrophic factor; 6-Hydroxydopamine; Rodent; Striatum

1. Introduction

Several studies have provided evidence that neurotoxic and ablative lesions of the nigrostriatal pathway induce an increase in neurotrophic activity within the denervated striatum. Chadi et al. [7] demonstrated an immediate increase of basic fibroblast growth factor (bFGF) mRNA and immunoreactivity in the denervated striatum following

a 6-hydroxydopamine (6-OHDA) lesion of the nigrostriatal pathway. Specific neurotrophic factors, e.g. brain-derived neurotrophic factor (BDNF) and glial cell line-derived neurotrophic factor (GDNF), increase expression within the denervated striatum following a 6-OHDA lesion in young adult brain [32,33,39]. The increase in striatal neurotrophic activity following a nigrostriatal pathway lesion is further substantiated by evidence that striatal extracts taken from the denervated striatum enhance the survival of cultured dopamine neurons [5,25]. The specific neurotrophic factors that increase their expression in the denervated striatum, e.g. bFGF, BDNF, and GDNF, have

*Corresponding author. Tel.: +1-859-257-8219; fax: +1-859-323-6343.

E-mail address: dyurek@uky.edu (D.M. Yurek).

been shown to provide potent neurotrophic support to dopamine neurons *in vitro* [12,14,17,18,20,22,24,38]. The increased expression of neurotrophic factors within the denervated striatum may be an underlying mechanism that supports differentiation, survival, and functional outgrowth of grafted embryonic neurons. Previous studies have shown that fetal dopamine grafts supplemented with neurotrophic factors can successfully improve the survival and function of the grafts [3]; in particular, treatment of fetal dopamine grafts with exogenous BDNF and GDNF before or after implantation of the grafts improves the function and survival [1,26–28,31,34,37].

The purpose of the present study was to compare the survival, fiber outgrowth, and function of fetal dopamine grafts when these grafts are implanted into the lesioned or intact striatum of young adult rats, and determine whether lesioned-induced neurotrophic activity may be beneficial to graft development and function.

2. Material and methods

2.1. Animals

A total of 54 young (4–5 months old) male Sprague–Dawley rats were obtained from Harlan Farms and used in this study. Animals were housed in environmentally regulated rooms and had free access to food and water for the duration of the study. All animal procedures were conducted in strict compliance with approved institutional protocols, and in accordance with the provisions for animal care and use described in the Guide for the Care and Use of Laboratory Animals (NIH publication No. 86-23, 1985).

2.2. Ventral mesencephalic tissue grafts

Recipient animals were anesthetized with halothane (1.0–1.5% mixture with air) and placed in a stereotaxic apparatus. At the same time, the ventral mesencephalon was dissected from E14 fetuses obtained from time-pregnant Sprague–Dawley rats (Harlan Farms) and stored individually in a cold, sterile, calcium-magnesium free buffer (CMF: 0.15 M NaCl, 8.0 mM Na₂HPO₄, 2.7 mM KCl, 1.5 mM KHPO₄, 26.0 mM NaHCO₃, 0.1% glucose, 100 mg/ml streptomycin, 2.5 mg/ml fungizone, pH 7.2). The ventral mesencephalon from a single fetus was drawn into the blunt end of a 22-gauge spinal needle and stereotactically placed into the denervated striatum of the recipient animal at the following coordinates: AP +0.5, ML +2.5, DV –5.5. Animals received grafts according to the following schedule: for the –1 Week group (*n*=8), grafts were implanted into the intact striatum 1 week before the ipsilateral nigrostriatal pathway was lesioned; for the Week 0 group (*n*=6), each animal received a unilateral 6-OHDA lesion and immediately thereafter a graft was implanted into the ipsilateral striatum; for the 1

Week (*n*=9), 4 Weeks (*n*=8), and 12 Weeks (*n*=6) groups, grafts were placed into the lesioned striatum 1, 4, or 12 weeks after the 6-OHDA lesion, respectively.

2.3. 6-Hydroxydopamine lesions

All rats were given unilateral 6-hydroxydopamine (6-OHDA) lesions of the nigrostriatal pathway; 6-OHDA (Sigma) was dissolved in 0.9% saline (containing 0.2% ascorbic acid) at a concentration of 3.0 µg/µl and stereotactically injected into the nigrostriatal pathway of anesthetized rats at a rate of 1.0 µl/min for 2 min. Each rat received two injections of 6-OHDA: one in the vicinity of the medial forebrain bundle (AP –4.4, ML 1.2, DV –7.5) and the other in the rostral pars compacta of the substantia nigra (AP –5.3, ML 2.0, DV –7.5); all coordinates reported in this study represent millimeter adjustments from bregma (AP, ML) and below the dural surface (DV) with the top of the skull in a flat position. This technique routinely produces complete lesions of dopamine neurons in the A9 and A10 midbrain regions, and near complete denervation of dopaminergic fibers innervating the ipsilateral striatum.

2.4. Quantification of neurotrophic factors by an enzyme-linked immunosorbent assay (ELISA)

A total of 17 rats were euthanatized either 3 days (*n*=10) or 12 weeks (*n*=7) after receiving a unilateral 6-OHDA lesion. Brains were removed, the striatal and ventral midbrain brain regions of both hemispheres were dissected on ice and the samples were then stored at –80 °C. Subsequently, each tissue sample was homogenized in 300-µl volumes of homogenate buffer (400 mM NaCl, 0.1% Triton-X, 2.0 mM EDTA, 0.1 mM benzethonium chloride, 2.0 mM benzamidine, 0.1 mM PMSF, Aprotinin (9.7 TIU/ml), 0.5% BSA, 0.1 M phosphate buffer, pH 7.4). The homogenate was centrifuged for 10 min at 10,000×g at 4 °C. The homogenate was divided into 100-µl duplicate samples and neurotrophic factor content was determined using an antibody sandwich format: extracted neurotrophic factors from each sample were captured with a monoclonal antibody against BDNF or GDNF and the captured neurotrophic factor was then bound to a second, specific, polyclonal antibody (pAb) against BDNF or GDNF. After washing, the amount of specifically bound pAb was detected using a species-specific anti-IgY antibody conjugated to horseradish peroxidase (HRP) as a tertiary reactant. Unbound conjugate was removed by washing and, following an incubation period with a chromogenic substrate, the color change was measured in a microplate reader (450 nm). The amount of neurotrophic factor was proportional to the color change generated in an oxidation–reduction reaction; the Promega E_{max}TM ImmunoAssay System was used for the detection of both neurotrophic factors. The reliability of the neuro-

trophic factor measures ranged from 97 to 99% based upon regression analysis. We chose not to examine the expression of BDNF or GDNF at time points between 3 days and 12 weeks post-lesion because these studies were performed earlier [32,33].

2.5. Rotational behavior

Amphetamine-induced rotational behavior was tested in all treatment groups 4 weeks after grafting. Rotational behavior was induced by a systemic injection of amphetamine (5.0 mg/kg, i.p.). Rats were placed inside opaque 16-inch diameter cylindrical chambers which were positioned directly beneath a video camera. The video camera was connected to a Videomex V image motion computer system (Columbus Instruments, Columbus, OH). The total number of 360° clockwise or counterclockwise rotations was measured during each 90-min test session. No post-lesion, pre-graft rotational scores are reported because three of the five treatment groups received fetal grafts at time points before the 6-OHDA lesions were fully developed.

2.6. Immunohistochemical technique

Rats were sacrificed at the end of the 6th postgraft week for all treatment groups. All rats were deeply anesthetized with sodium pentobarbital and perfused transcardially with ice-cold saline followed by 4% paraformaldehyde. The brains were post-fixed overnight in 4% paraformaldehyde and placed in 30% sucrose. Brain sections (40 µm) were cut on a sliding microtome and stored in cryoprotectant at -20 °C [30]. For immunohistochemical detection of tyrosine hydroxylase (TH) free-floating sections were incubated overnight in mouse antisera containing a monoclonal antibody against TH (1:8000; Chemicon). The sections were then incubated in an affinity-purified biotinylated goat anti-mouse IgG secondary antibody (1:800, Chemicon, Temecula, CA) and then incubated in an avidin-biotin-peroxidase complex (Vector Laboratories, Burlingame, CA). Staining was completed by placing the sections in 0.003% H₂O₂ that contained diaminobenzidine chromogen to visualize the peroxidase-catalyzed reaction product. To enhance fiber staining, nickel ammonium sulfate was added to the last step.

2.7. Cell counts and quantification of fiber outgrowth

Cell counts were made using light microscopy. Counts of TH+ cell bodies were made in every third section throughout the rostral-caudal extent of the lesioned/transplanted striatum. Particles less than 5.0 µm were not counted. The total number of TH+ cell bodies was summed for each animal and an average value (\pm S.E.M.) was calculated for each of the three different treatment

groups. Cell counts were made with the observer blind to the treatment.

Fiber outgrowth from transplants was quantified using methodology from a previous study [35]. Briefly, low power (2×) images of brain sections containing TH immunostained transplants were captured via a video frame grabber and stored to computer disk as TIFF files; approximately six to eight brain sections containing grafts were used for analyses. Image files were analyzed on a Macintosh IIxi computer using the public domain NIH Image program. Coarse fibers, cell bodies, and fine granules immunostained for TH were distinguished from one another by their detection at different density levels. For example, fine TH-ir elements distributed diffusely within the host striatum were measured by adjusting density levels to exclude TH+ cell bodies and background from the calculation. All density measurements were made with the observer blind to the treatment.

2.8. Statistical analysis

Analysis of variance (ANOVA) was used to analyze the effect of treatment (transplantation time relative to lesion) on the dependent variables: rotational scores, cell counts, and area of fiber outgrowth. Results for the ELISA analysis were analyzed using ANOVA. Student-Newman-Keuls was used for post hoc mean comparisons for all ANOVAs showing a significant treatment effect. The alpha level was set to 0.05.

3. Results

3.1. Post-lesion measurements of BDNF or GDNF protein in striatum or ventral midbrain

Table 1 summarizes BDNF and GDNF protein in the striatum or ventral midbrain immediately after (3 days) or 12 weeks after naïve rats received unilateral 6-OHDA lesions. Levels of BDNF and GDNF protein are greater in the lesioned ventral midbrain than in the intact side 3 days after a 6-OHDA lesion. At this same time point, we do not

Table 1
Measurement of BDNF or GDNF 3 days or 12 weeks post-lesion (ng/g tissue)

Brain region	BDNF		GDNF	
	3 days	12 weeks	3 days	12 weeks
<i>Striatum</i>				
Intact side	11.1±0.8	11.5±0.6	9.0±0.4	11.1±0.4
Lesioned side	10.1±0.9	11.1±1.0	8.8±0.6	14.3±1.4
<i>Ventral midbrain</i>				
Intact side	10.8±0.6	13.0±1.0	9.1±0.3	10.7±0.1 [^]
Lesioned side	16.0±1.5*	15.7±1.5	11.0±0.7 [^]	8.7±1.0

*P=0.007 versus intact side. [^]P=0.06 versus lesioned side (approached significance). [^]P=0.02 versus intact side.

Table 2
Relative changes of BDNF or GDNF at several post-lesion time points

	3 Days	2 Weeks*	4 Weeks [†]	12 Weeks
BDNF				
Lesioned striatum	n.d.	↑	↑	n.d.
Lesioned ventral midbrain	↑	↑	↑	n.d.
GDNF				
Lesioned striatum	n.d.	↑	n.r.	n.d.
Lesioned ventral midbrain	↑	n.d.	n.r.	↓

↑, significant increase relative to intact side. ↓, significant decrease relative to intact side. n.d., no difference. n.r., not reported. * Data initially reported in [33]; [†] data initially reported in [32].

observe significant differences in protein levels between the lesioned and intact striatum for either BDNF or GDNF. At 12 weeks post-lesion, BDNF and GDNF protein levels are the same in the intact and lesioned sides for both the striatum and ventral midbrain. The mean value of GDNF protein in the intact ventral midbrain is greater than that in lesioned ventral midbrain, however, the statistical comparison of GDNF of these two means only approaches significance ($P=0.06$). Table 2 summarizes changes in the expression of BDNF and GDNF protein levels in the nigrostriatal pathway at various post-lesion time points.

3.2. Rotational behavior

Statistical analysis of rotational scores revealed a significant effect of treatment ($F(4,36)=2.92$, $P=0.03$). In Fig. 1, lesioned animals receiving transplants in all five treat-

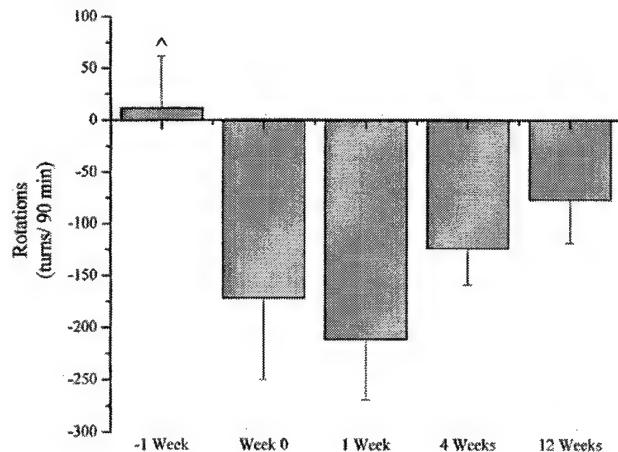


Fig. 1. Amphetamine-induced rotational scores for animals in each treatment group 4 weeks after grafting. Grafts were implanted at the following time points relative to the 6-OHDA lesion: 1 week before ($n=8$, -1 Week), at the same time, ($n=6$, Week 0), 1 week after ($n=9$, 1 Week), 4 weeks after ($n=8$, 4 Weeks), or 12 weeks after ($n=6$, 12 Weeks). Bars represent the average rotational score for each treatment group \pm S.E.M. Rotational behavior was induced with amphetamine (5.0 mg/kg, i.p.) and the total number of ipsilateral (positive) and contralateral (negative) rotations were counted over a 90-min post-injection period. Scores for the 1 Week group were significantly greater than the scores for -1 Week group. $\times P<0.05$, 1 Week versus -1 Week.

ment groups show functional compensation as determined by the low rates of amphetamine-induced rotational behavior observed in these animals 4 weeks after grafting. Statistical comparison of rotational scores revealed significantly lower scores for the 1 Week group when compared to the -1 Week group.

3.3. Cell counts of transplanted TH+ neurons

Statistical analysis of cell count data revealed a significant effect of treatment ($F(4,36)=2.67$, $P=0.05$). The average number of TH+ neurons in transplants for the 1 Week group was more than double and statistically greater than the average number counted in the -1 Week group (Fig. 2).

3.4. Fiber outgrowth

Statistical analysis of fiber outgrowth revealed a significant effect of treatment ($F(4,36)=3.30$, $P=0.02$). Similar to the cell count data, animals in the 1 Week group showed an average area of TH+ fiber staining in the host tissue surrounding the transplant that was over double the area of TH+ fiber staining observed in the -1 Week group (Fig. 3). The area of TH+ fiber outgrowth was significantly greater for animals in the Week 0 or 4 Weeks groups than in the -1 Week group. Fig. 4 shows the four best examples of TH+ fiber staining in the lesioned/trans-

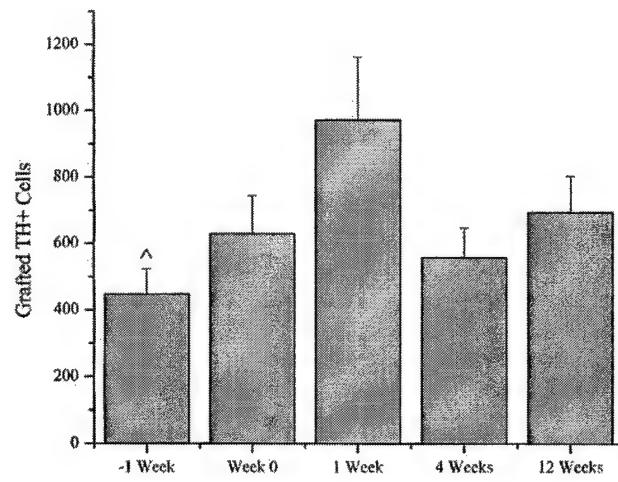


Fig. 2. Total number of TH+ cell bodies counted in dopamine grafts for each of the five treatment groups. Grafts were implanted at the following time points relative to the 6-OHDA lesion: 1 week before ($n=8$, -1 Week), at the same time, ($n=6$, Week 0), 1 week after ($n=9$, 1 Week), 4 weeks after ($n=8$, 4 Weeks), or 12 weeks after ($n=6$, 12 Weeks). Brains were sliced into 40- μ m sections and immunohistochemically stained for TH. The total number of TH+ cell bodies was counted in every third section throughout the rostral-caudal extent of the lesioned/transplanted striatum. Bars represent an average of the total number of TH+ cell bodies for each animal in each treatment group \pm S.E.M. Cell counts for the 1 Week group were significantly greater than cell counts for the -1 Week group. $\times P<0.05$, 1 Week versus -1 Week.

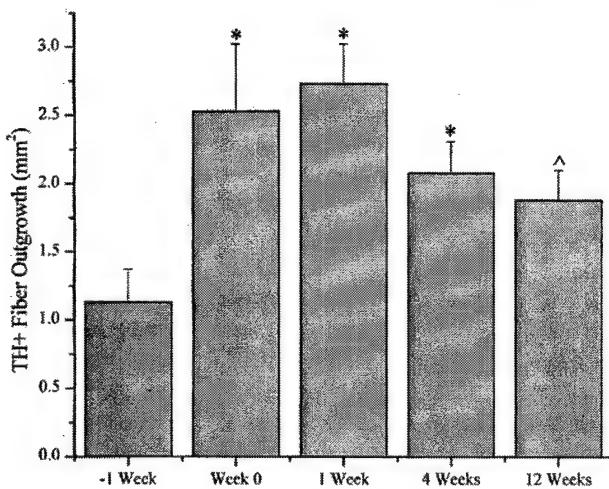


Fig. 3. Total area of TH+ fiber outgrowth from dopamine grafts for each treatment group 5 weeks after grafting. Grafts were implanted at the following time points relative to the 6-OHDA lesion: 1 week before ($n=8$, -1 Week), at the same time, ($n=6$, Week 0), 1 week after ($n=9$, 1 Week), 4 weeks after ($n=8$, 4 Weeks), or 12 weeks after ($n=6$, 12 Weeks). Brains were sliced into 40- μ m sections and immunohistochemically stained for TH. Densitometry was set to detect TH+ fibers projecting from the graft and TH+ reinnervation of the host striatum; TH+ cell bodies and fibers within the transplant, as well as background, were excluded from the analysis. Area calculations were made in every third section throughout the rostral-caudal extent of the lesioned/transplanted striatum and an average area of TH+ fiber outgrowth was calculated for each animal. Bars represent an average area of fiber outgrowth for each treatment group \pm S.E.M. Fiber outgrowth for the 1 Week group was significantly greater than outgrowth for the -1 Week or 12 Week groups. * $P<0.05$ versus -1 Week, $\times P<0.05$ versus Week 1.

planted and intact striata for both the -1 Week and 1 Week groups.

3.5. Correlation of behavior and graft morphology

The behavioral and morphological scores presented above were pooled for all treatment groups and individual scores for rotational behavior were plotted as a function of the number of TH+ neurons in the graft (Fig. 5A) or as a function of the area of TH+ outgrowth from the graft (Fig. 5B). Regression analysis was performed on these scatter plots and our analysis revealed that the decrease in rotational scores following grafting is more tightly correlated with the extent of grafted fiber outgrowth ($r^2=-0.71$) than it is with the number of TH+ neurons within the graft ($r^2=-0.35$).

4. Discussion

The results of this study show that factors within the denervated striatum provide a more enriched environment than the intact striatum for graft development and function. Grafts placed into the denervated striatum within a 1-month period after the nigrostriatal pathway is lesioned

show significantly better fiber outgrowth than grafts placed initially into an intact striatum. The survival of grafted dopamine neurons is also improved if the grafts are placed into the denervated striatum within 1 week after the nigrostriatal pathway lesion. These results suggest that in young rats, a neurotoxic lesion of the nigrostriatal pathway induces an increase of neurotrophic activity that is beneficial to the survival and functional outgrowth of fetal dopamine grafts. Moreover, this effect may be transient and dependent upon the length of time between the lesion and grafting procedures.

It is interesting that fiber outgrowth from fetal dopamine grafts is improved at the same post-lesion time points when specific dopaminergic neurotrophic factors are known to increase their expression in the lesioned striatum relative to the intact striatum. In previous studies we observed an improvement of fiber outgrowth from dopamine grafts when grafts were exposed to continuous infusion of exogenous BDNF during the 1st month after grafting [35] or for a 2-week infusion period that began at the end of the 2nd post-transplantation week [34]; Sauer et al. reported that BDNF infusions into dopamine grafts improve function without a concomitant increase in the number of surviving grafted dopamine neurons [27]. In young adult rats, we and others observe an increase in BDNF protein levels within the lesioned striatum that is apparent 2–4 weeks after the lesion [32,33,39]; not only is striatal BDNF elevated 2 weeks after a nigrostriatal pathway lesion, but so is another dopaminergic neurotrophic factor, GDNF. In this study we also measured BDNF and GDNF protein in animals with lesions only and did not observe an increase of either neurotrophic factor in the lesioned striatum immediately (3 days) or 12 weeks after the lesion. This finding, combined with the results from our earlier studies, suggests that neurotoxic lesions induce transient increases in neurotrophic factor expression in the striatum for a period of at least 1 month that may not begin immediately after the administration of the neurotoxin. Of all the treatment groups tested in this study, dopamine grafts implanted into the 1 Week group would most likely be exposed to elevated levels of endogenous BDNF and GDNF at the same time period when exogenous infusion of these neurotrophic factors improves the survival and fiber outgrowth of fetal dopamine grafts. The transient increase of neurotrophic factors within the lesioned striatum may be one explanation why the area of TH+ fiber outgrowth from grafts is higher when the grafts are implanted post-lesion rather than pre-lesion. Nonetheless, it is clear that removal of dopaminergic afferents to the striatum is a requirement to stimulate significant fiber outgrowth from fetal dopamine grafts.

The relatively poor fiber outgrowth and survival of grafted dopamine neurons observed in the -1 Week group could be attributable to several factors. While a lack of lesion-induced increase of neurotrophic activity may be one likely explanation for diminished fiber outgrowth, it is

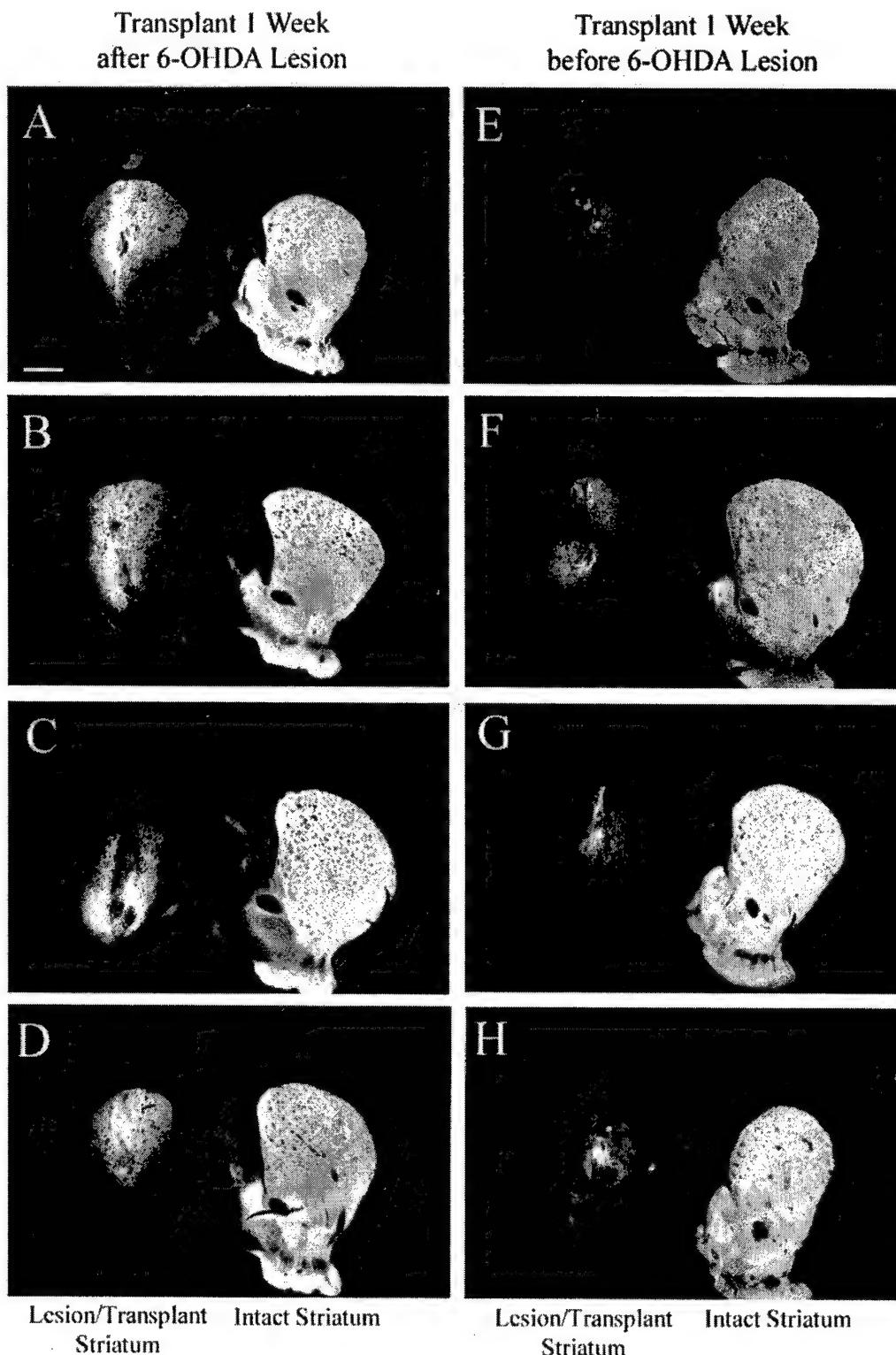


Fig. 4. Dark-field photomicrographs of coronal brain sections stained for TH and showing the four best examples of TH⁺ staining in the lesioned/transplanted striatum for the 1 Week (left column, panels A–D) and -1 Week (right column, panels E–H) treatment groups. For each panel, the left side of the brain is the lesioned/transplanted side and the right side is the intact side. Each panel is from a different animal. Note the larger area of TH⁺ fiber outgrowth in lesioned/transplanted striatum of the 1 Week group when compared to same region in the -1 Week group. Brain sections are 40 μm in thickness. Calibration bar in panel A: 1 mm.

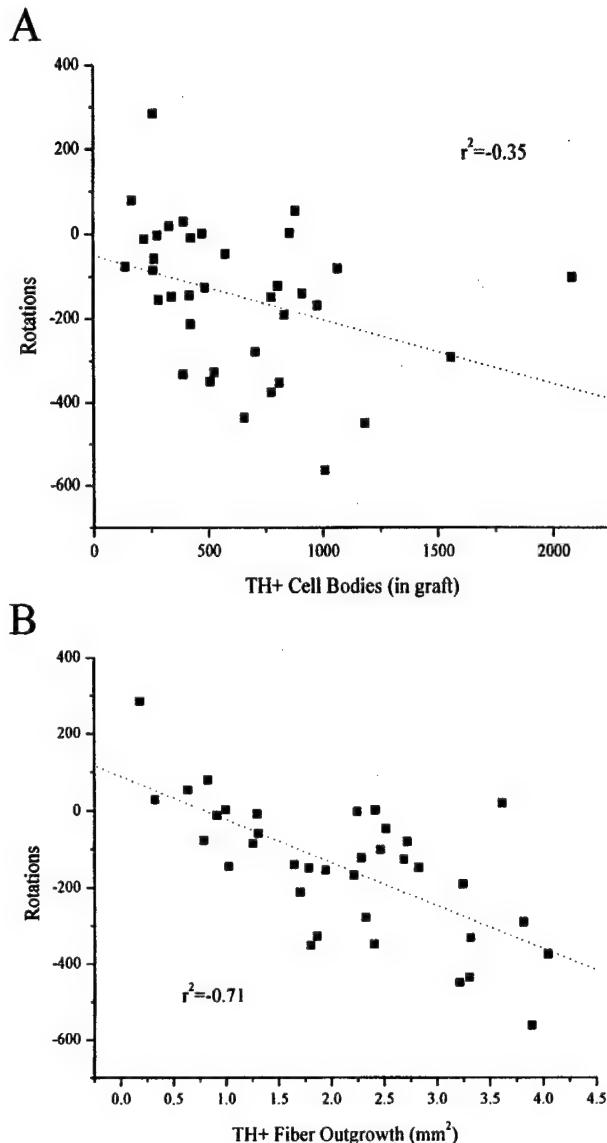


Fig. 5. Correlations between rotational scores, the number of grafted TH+ neurons, and TH+ fiber outgrowth. Scatter plots of individual rotational scores ($n=37$) were plotted as a function of either the number of TH+ neurons within the animal's graft (A) or the area of TH+ fiber outgrowth from the animal's graft (B). A linear regression analysis was performed on each scatter plot (dotted line). The correlation coefficients for plots (A) and (B) are $r^2=-0.35$ and $r^2=-0.71$, respectively. The reversal of amphetamine-induced rotational behavior by dopamine grafts is more tightly correlated to the area of fiber outgrowth than it is to the number of grafted TH+ neurons.

certainly not the only explanation. For example, grafts implanted into the intact striatum would have to compete with existing dopamine fibers in order to establish functional contacts with target neurons in the host tissue. The limited fiber outgrowth from transplants placed into the intact striatum may be restricted to sites where host dopaminergic neurons are disrupted during the implantation process. A previous study also reported anecdotally

that fetal dopamine grafts placed into the intact striatum had a more restricted fiber outgrowth pattern than grafts placed into the denervated striatum [16]. Also, the lower survival rate of TH+ cells within the transplants of the -1 Week group may be directly related to the inability of transplanted neurons to successfully innervate the host tissue. During normal development of the nigrostriatal pathway, midbrain dopamine neurons undergo several stages of programmed cell death that might be a consequence of many immature neurons competing to establish functional contacts with a limited number of targets [19]. Moreover, implanting immature dopamine neurons into a dopamine-rich environment may be detrimental to their survival based upon evidence that dopamine itself may induce apoptosis in developing neurons [40]. Therefore the decreased survival of transplanted neurons in the -1 Week group cannot be entirely explained by the neurotrophic environment of the host brain at the site of implantation. Indeed, results from studies performed in our laboratory and others have shown that the intact striatum maintains expression of several dopaminergic neurotrophic factors in adult brain [33,36,39].

While the area of TH+ fiber outgrowth from grafts was significantly greater for the Week 0 or 4 Weeks groups when compared to the -1 Week group, we observed that the number of TH+ neurons in grafts for the Week 0 or 4 Weeks group was slightly higher but not significantly greater than the number of TH+ neurons in grafts for the -1 Week group. On the other hand, both the number of TH+ neurons and the area of fiber outgrowth were significantly greater for the 1 Week group when statistically compared to the -1 Week group. This suggests that a dynamic change in the neurotrophic environment of the denervated striatum may be occurring during the 1st month after the lesion and/or the 1st month after grafting. Our previous studies have demonstrated that after 1–2 weeks following a 6-OHDA lesion, both survival and outgrowth factors may be up-regulated in the denervated striatum and thus provide an environment that supports the survival and functional outgrowth of grafted neurons. At 4 weeks after the lesion, however, survival factors within the denervated striatum may decline whereas outgrowth factors remain elevated. Interestingly, we observe in young lesioned rats an elevation of BDNF levels in the denervated striatum 4 weeks after a nigrostriatal pathway lesion [32]. As already mentioned, BDNF may have properties of a target-derived neurotrophic factor that stimulates fiber outgrowth more than it does as a survival factor for fetal dopamine grafts. Interestingly, fiber outgrowth in the 12 Weeks group is significantly less than that observed in the 1 Week group, and this corresponds to the same post-lesion period when striatal BDNF is not significantly elevated in the striatum of rats with lesions only. Likewise, we observe that GDNF is significantly elevated in the denervated striatum 2 weeks after a 6-OHDA lesion, but this elevation may only be transient because we do not observe a significant differ-

ence in GDNF protein between the intact and lesioned striatum during the 12th post-lesion week. Glial cell line-derived neurotrophic factor is known to be a potent survival factor for dopamine neurons in vitro and in vivo [1,4,8,11,13,21–23,26,28,31]. If striatal GDNF levels are increased only transiently during the first 2 weeks following a 6-OHDA lesion, then this may provide a partial explanation why the number of TH+ neurons was significantly greater in the 1 Week group than in the –1 Week group, and why the comparison of TH+ neurons for the –1 Week, Week 0, and 4 Weeks groups did not yield a significant difference.

We also observe that graft-mediated reduction of amphetamine-induced rotational behavior was more tightly correlated with the degree of fiber outgrowth from the graft than it was with the actual number of surviving TH+ neurons within the graft. Grafted rats showing the largest areas of fiber outgrowth also showed the highest degree of functional overcompensation when tested with amphetamine. The phenomenon of overcompensation in amphetamine-induced rotational behavioral has been ascribed to grafted fibers forming contacts with corticostriatal fibers because the abolition of corticostriatal afferents also blocks this over-compensatory response [6]. Another explanation for this over-compensatory response to amphetamine may be related to the status of striatal dopamine receptors or to an inefficient reuptake of dopamine by grafted neurons; it still remains uncertain whether striatal dopamine receptors are fully normalized by the grafts. From a therapeutic standpoint, it remains to be determined whether or not fiber contacts made between the graft and host neurons are aberrant or functionally useful. Nevertheless, the extent of fiber outgrowth from grafts may be a better predictor of graft-mediated reduction of amphetamine-induced rotational behavior than the actual number of surviving grafted TH+ neurons. This is consistent with the earlier report that behavioral recovery is correlated with the extent of graft fiber reinnervation of the host brain [2,10,29].

It would be interesting to observe whether or not dopamine grafts show enhanced survival and function in the lesioned striatum of aged rats. Studies from our laboratory indicate that protein levels of at least two neurotrophic factors, BDNF and GDNF, are greater in lesioned striatum than in the intact striatum in young rats whereas there are no significant differences in either BDNF or GDNF protein levels between the lesioned and intact striata of aged rats [32,33]. The results of these studies suggest that the neurotrophic environment of the denervated striatum of aged rats may be comparable to the intact striatum of young or old rats. This is intriguing because Collier et al. [9] recently reported that dopamine grafts showing improved transplant function in young animals were virtually without effect in aged rats; this study also reported impaired morphological development of grafts and, in particular, a reduction of fiber outgrowth from grafts placed into aged denervated striatum. In the

present study we observed a significant reduction of fiber outgrowth from grafts placed into the intact striatum. The significance of these studies may be more fully appreciated in light of the results from a recent clinical trial using dopamine neuron implants in Parkinson's patients that concluded that patients under 60 years of age exhibited statistically significant clinical benefits from transplants while patients older than 60 years of age did not [15]. It is conceivable that while dopamine grafts placed into elderly Parkinson's patients show evidence of graft survival in terms of PET scan studies, the functional outgrowth of these grafts may be impaired due to an impoverished neurotrophic environment. This may be one explanation why younger Parkinson's patients benefit more from dopamine grafts than older patients.

In conclusion, neurotoxic lesions of the nigrostriatal pathway may induce a transient increase of neurotrophic activity that is initially beneficial to the survival and function of dopamine grafts. In addition, the length of time between the lesion and the grafting procedure may have a direct effect on the success of grafted fetal dopamine neurons in terms of their survival and functional reinnervation of the host. These effects may be directly related to the reports from other studies that have provided evidence that neurotoxic lesions of the nigrostriatal pathway induce a compensatory increase of neurotrophic activity in the denervated striatum.

Acknowledgements

This research was supported by NS35890.

References

- [1] C. Apostolides, E. Sanford, M. Hong, I. Mendez, Glial cell line-derived neurotrophic factor improves intrastriatal graft survival of stored dopaminergic cells, *Neuroscience* 83 (1998) 363–372.
- [2] A. Björklund, S.B. Dunnett, U. Stenevi, M.E. Lewis, S.D. Iversen, Reinnervation of the denervated striatum by substantia nigra transplants: functional consequences as revealed by pharmacological and sensorimotor testing, *Brain Res.* 199 (1980) 307–333.
- [3] P. Brundin, J. Karlsson, M. Emgård, G.S.K. Schierle, O. Hansson, A. Petersén, Improving the survival of grafted dopaminergic neurons: a review over current approaches, *Cell Transplant.* 9 (2000) 179–195.
- [4] R.E. Burke, M. Antonelli, D. Sulzer, Glial cell line-derived neurotrophic growth factor inhibits apoptotic death of postnatal substantia nigra dopamine neurons in primary culture, *J. Neurochem.* 71 (1998) 517–525.
- [5] P.M. Carvey, D.H. Lin, C.J. Faselis, J.K. Notermann, Z.D. Ling, Loss of striatal DA innervation increases striatal trophic activity directed at DA neurons in culture, *Exp. Neurol.* 140 (1996) 184–197.
- [6] M.A. Cenci, A. Björklund, Transection of corticostriatal afferents abolishes the hyperexpression of Fos and counteracts the development of rotational overcompensation induced by intrastriatal dopa-

mine-rich grafts when challenged with amphetamine, *Brain Res.* 665 (1994) 167–174.

[7] G. Chadi, Y. Cao, R.F. Pettersson, K. Fuxe, Temporal and spatial increase of astroglial basic fibroblast growth factor synthesis after 6-hydroxydopamine-induced degeneration of the nigrostriatal dopamine neurons, *Neuroscience* 61 (1994) 891–910.

[8] D.L. Choi-Lundberg, Q. Lin, T. Schallert, D. Crippens, B.L. Davidson, Y.-N. Chang, Y.L. Chiang, J. Qian, L. Bardwaj, M.C. Bohn, Behavioral and cellular protection of rat dopaminergic neurons by an adenoviral vector encoding glial cell line-derived neurotrophic factor, *Exp. Neurol.* 154 (1998) 261–275.

[9] T.J. Collier, C.E. Sortwell, B.F. Daley, Diminished viability, growth, and behavioral efficacy of fetal dopamine neuron grafts in aging rats with long-term dopamine depletion: an argument for neurotrophic supplementation, *J. Neurosci.* 19 (1999) 5563–5573.

[10] G. Doucet, P. Brundin, I. Descarries, A. Björklund, Effect of prior dopamine denervation on survival and fiber outgrowth from intrastriatal fetal mesencephalic grafts, *Eur. J. Neurosci.* 2 (1990) 279–290.

[11] K. Eggert, J. Schlegel, W. Oertel, C. Würz, J.-C. Krieg, H. Vedder, Glial cell line-derived neurotrophic factor protects dopaminergic neurons from 6-hydroxydopamine toxicity in vitro, *Neurosci. Lett.* 269 (1999) 178–182.

[12] J.W. Fawcett, R.A. Barker, S.B. Dunnett, Dopaminergic neuronal survival and the effects of bFGF in explant, three dimensional and monolayer cultures of embryonic rat ventral mesencephalon, *Exp. Brain Res.* 106 (1995) 275–282.

[13] L. Feng, C.-Y. Wang, H. Jiang, C. Oho, K. Mizuno, M. Dugich-Djordjevic, B. Lu, Differential effects of GDNF and BDNF on cultured ventral mesencephalic neurons, *Mol. Brain Res.* 66 (1999) 62–70.

[14] G. Ferrari, M.C. Minozzi, G. Toffano, A. Leon, S.D. Skaper, Basic fibroblast growth factor promotes the survival and development of mesencephalic neurons in culture, *Dev. Biol.* 133 (1989) 140–147.

[15] C.R. Freed, P.E. Greene, R.E. Breeze, W.Y. Tsai, W. DuMouchel, R. Kao, S. Dillon, H. Winfield, S. Culver, J.Q. Trojanowski, D. Eidelberg, S. Fahn, Transplantation of embryonic dopamine neurons for severe Parkinson's disease, *New Engl. J. Med.* 344 (2001) 710–719.

[16] F.H. Gage, A. Björklund, U. Stenevi, S.B. Dunnett, Intracerebral grafting of neuronal cell suspensions. VIII. Survival and growth of implants of nigral and septal cell suspensions in intact brains of aged rats, *Acta Physiol. Scand. Suppl.* 522 (1983) 67–75.

[17] C. Hyman, M. Hofer, Y.A. Barde, M. Juhasz, G.D. Yancopoulos, S.P. Squinto, R.M. Lindsay, BDNF is a neurotrophic factor for dopaminergic neurons of the substantia nigra, *Nature* 350 (1991) 230–232.

[18] C. Hyman, M. Juhasz, C. Jackson, P. Wright, N.Y. Ip, R.M. Lindsay, Overlapping and distinct actions of the neurotrophins BDNF, NT-3, and NT-4/5 on cultured dopaminergic and GABAergic neurons of the ventral mesencephalon, *J. Neurosci.* 14 (1994) 335–347.

[19] E. Janec, R.E. Burke, Naturally occurring cell death during postnatal development of the substantia nigra pars compacta of rat, *Mol. Cell. Neurosci.* 4 (1993) 30–35.

[20] B. Knüsel, J.W. Winslow, A. Rosenthal, L.E. Burton, D.P. Seid, K. Nikolic, F. Hefti, Promotion of central cholinergic and dopaminergic neuron differentiation by brain-derived neurotrophic factor but not neurotrophin 3, *Proc. Natl. Acad. Sci. USA* 88 (1991) 961–965.

[21] B.C. Kramer, A.D. Goldman, C. Mytilineou, Glial cell line derived neurotrophic factor promotes the recovery of dopamine neurons damaged by 6-hydroxydopamine in vitro, *Brain Res.* 851 (1999) 221–227.

[22] L.-F. Lin, D. Doherty, J.D. Lile, S. Bektash, F. Collins, GDNF: a glial cell line-derived neurotrophic factor for midbrain dopaminergic neurons, *Science* 260 (1993) 1130–1132.

[23] R.J. Mandel, S.K. Spratt, R.O. Snyder, S.E. Leff, Midbrain injection of recombinant adeno-associated virus encoding rat glial cell line-derived neurotrophic factor protects nigral neurons in a progressive 6-hydroxydopamine-induced degeneration model of Parkinson's disease in rats, *Proc. Natl. Acad. Sci. USA* 94 (1997) 14083–14088.

[24] E. Mayer, S.B. Dunnett, R. Pellitteri, J.W. Fawcett, Basic fibroblast growth factor promotes the survival of embryonic ventral mesencephalic dopaminergic neurons—I. Effects in vitro, *Neuroscience* 56 (1993) 379–388.

[25] K. Niijima, M. Araki, M. Ogawa, I. Nagatsu, F. Sato, H. Kimura, M. Yoshida, Enhanced survival of cultured dopamine neurons by treatment with soluble extracts from chemically deafferentiated striatum of adult rat brain, *Brain Res.* 528 (1990) 151–154.

[26] C. Rosenblad, A. Martinez-Serrano, A. Björklund, Glial cell line-derived neurotrophic factor increases survival, growth, and function of intrastriatal fetal nigral dopaminergic grafts, *Neuroscience* 75 (1996) 979–985.

[27] H. Sauer, W. Fischer, G. Nikkhah, S.J. Wiegand, P. Brundin, R.M. Lindsay, A. Björklund, Brain-derived neurotrophic factor enhances function rather than survival of intrastriatal dopamine cell-rich grafts, *Brain Res.* 626 (1993) 37–44.

[28] S.R. Sinclair, C.N. Svendsen, E.M. Torres, D. Martin, J.W. Fawcett, S.B. Dunnett, GDNF enhances dopaminergic cell survival and fibre outgrowth in embryonic nigral grafts, *Neuroreport* 7 (1996) 2547–2552.

[29] C.E. Sortwell, M.D. Camargo, M.R. Pitzer, S. Gyawali, T.J. Collier, Diminished survival of mesencephalic dopamine neurons grafted into aged hosts occurs during the immediate postgrafting interval, *Exp. Neurol.* 169 (2001) 23–29.

[30] R.E. Watson Jr., S.J. Wiegand, R.W. Clough, G.E. Hoffman, Use of cryoprotectant to maintain long-term peptide immunoreactivity and tissue morphology, *Peptides* 7 (1986) 155–159.

[31] D.M. Yurek, Glial cell line-derived neurotrophic factor improves survival of dopaminergic neurons in transplants of fetal ventral mesencephalic tissue, *Exp. Neurol.* 153 (1998) 195–202.

[32] D.M. Yurek, A. Fletcher-Turner, Lesion-induced increase of BDNF is greater in the striatum of young versus old rat brain, *Exp. Neurol.* 161 (2000) 392–396.

[33] D.M. Yurek, A. Fletcher-Turner, Differential expression of GDNF, BDNF, and NT-3 in the aging nigrostriatal system following a neurotoxic lesion, *Brain Res.* 891 (2001) 228–235.

[34] D.M. Yurek, S.B. Hipkens, S.J. Wiegand, C.A. Altar, Optimal effectiveness of BDNF for fetal nigral transplants coincides with the ontogenetic appearance of BDNF in the striatum, *J. Neurosci.* 18 (1998) 6040–6047.

[35] D.M. Yurek, W. Lu, S. Hipkens, S.J. Wiegand, BDNF enhances the functional reinnervation of the striatum by grafted fetal dopamine neurons, *Exp. Neurol.* 137 (1996) 105–118.

[36] D.M. Yurek, K.B. Seroogy, Differential expression of neurotrophin and neurotrophin receptor mRNAs in and adjacent to fetal midbrain grafts implanted into the dopamine-denervated striatum, *J. Comp. Neurol.* 423 (2000) 462–473.

[37] W.M. Zawada, D.J. Zastrow, E.D. Clarkson, F.S. Adams, K.P. Bell, C.R. Freed, Growth factors improve immediate survival of embryonic dopamine neurons after transplantation into rats, *Brain Res.* 786 (1998) 96–103.

[38] J. Zhou, H.F. Bradford, G.M. Stern, The response of human and rat fetal ventral mesencephalon in culture to the brain-derived neurotrophic factor treatment, *Brain Res.* 656 (1994) 147–156.

[39] J. Zhou, B. Pliego-Rivero, H.F. Bradford, G.M. Stern, The BDNF content of postnatal and adult rat brain: the effects of 6-hydroxydopamine lesions in adult brain, *Dev. Brain Res.* 97 (1996) 297–303.

[40] I. Ziv, E. Melamed, N. Nardi, D. Luria, A. Achiron, D. Offen, A. Barzilai, Dopamine induces apoptosis-like cell death in cultured chick sympathetic neurons—a possible novel pathogenetic mechanism in Parkinson's disease, *Neurosci. Lett.* 170 (1994) 136–140.



Appendix 4

Experimental Neurology xx (2004) xxx–xxx

Experimental
Neurologywww.elsevier.com/locate/yexnr

1

2 Striatal trophic factor activity in aging monkeys with unilateral 3 MPTP-induced parkinsonism

4 Timothy J. Collier^{a,*}, Zao Dung Ling^b, Paul M. Carvey^b, Paul M. Carvey^b,
5 Anita Fletcher-Turner^c, David M. Yurek^c, John R. Sladek Jr.^d, Jeffrey H. Kordower^a

6 ^a*Department of Neurological Sciences, Rush University Medical Center, Chicago, IL 60612, USA*

7 ^b*Department of Pharmacology, Rush University Medical Center, Chicago, IL 60612, USA*

8 ^c*Department of Neurosurgery, University of Kentucky School of Medicine, Lexington, KY 40536, USA*

9 ^d*Department of Psychiatry, University of Colorado Health Sciences Center, Denver, CO 80262, USA*

10
11

Received 12 April 2004

12 Abstract

13 Striatal trophic activity was assessed in female rhesus monkeys of advancing age rendered hemiparkinsonian by unilateral intracarotid
14 administration of MPTP. Three age groups were analyzed: young adults (8–9.5 years) $n = 4$, middle-aged adults (15–17 years) $n = 4$, and
15 aged adults (21–31 years) $n = 7$. Fresh frozen tissue punches of caudate nucleus and putamen were collected 3 months after MPTP treatment
16 and assayed for combined soluble striatal trophic activity, brain-derived neurotrophic factor (BDNF) and glial cell line-derived neurotrophic
17 factor (GDNF).

18 This time point was chosen in an effort to assess a relatively stable phase of the dopamine (DA)-depleted state that may model the
19 condition of Parkinson's disease (PD) patients at the time of therapeutic intervention. Analyses were conducted on striatal tissue both
20 contralateral (aging effects) and ipsilateral to the DA-depleting lesion (lesion \times aging effects). We found that combined striatal trophic
21 activity in the contralateral hemisphere increased significantly with aging. Activity from both middle-aged and aged animals was significantly
22 elevated as compared to young adults. Following DA depletion, young animals significantly increased combined striatal trophic activity, but
23 middle-aged and aged animals did not exhibit further increases in activity over their elevated baselines. BDNF levels in the contralateral
24 hemisphere were significantly reduced in aged animals as compared to young and middle-aged subjects. With DA depletion, BDNF levels
25 declined in young and middle-aged animals but did not change from the decreased baseline level in old animals. GDNF levels were
26 unchanged with aging and at 3 months after DA depletion. The results are consistent with several conclusions. First, by middle age combined
27 striatal trophic activity is elevated, potentially reflecting a compensatory reaction to ongoing degenerative changes in substantia nigra DA
28 neurons. Second, in response to DA depletion, young animals were capable of generating a significant increase in trophic activity that was
29 sustained for at least 3 months. This capacity was either saturated or was not sustained in middle-aged and aged animals. Third, the aging-
30 related chronic increase in combined striatal trophic activity was not attributable to BDNF or GDNF as these molecules either decreased or
31 did not change with aging.

32 © 2004 Elsevier Inc. All rights reserved.

33 **Keywords:** Neurotrophic; Monkey; Nonhuman primate; GDNF; BDNF; Substantia nigra; Striatum; Dopamine; MPTP; Parkinsonism

34

35 Introduction

Animal models are essential tools for understanding neural mechanisms associated with neurodegenerative diseases and for the design of effective experimental therapeutic interventions. One primary risk factor for neurodegenerative disease is advancing age (Baldereschi et al., 2003; Lindsay et al., 2003).

* Corresponding author. Department of Neurological Sciences, Rush University Medical Center, Cohn Building, Suite 300, 1735 West Harrison Street, Chicago, IL 60612. Fax: +1 312 563 3571.

E-mail address: tcollier@rush.edu (T.J. Collier).

al., 2002; Wakisaka et al., 2003). While there are several animal models of Parkinson's disease (PD) (Cenci et al., 2002; Collier et al., 2003a,b; Orth and Tabrizi, 2003; Shimohama et al., 2003), the impact of aging on the brain's response to dopamine (DA) depletion in these models has not received much attention until recently. Indeed, the common use of young adult animals depleted of striatal DA as a test system for novel therapies for PD may yield overly optimistic views of efficacy. It is unlikely that compensatory mechanisms expressed in the injured young adult brain remain fully functional in the aged brain, when individuals most often manifest neurodegenerative disease. In this regard, we have demonstrated that embryonic DA neurons grafted into aged hosts survive more poorly and exert a less potent therapeutic benefit than identical grafts placed in young hosts in a rat model of PD (Collier et al., 1999; Sortwell et al., 2001). These studies were predictive of data collected in a double-blind human clinical transplant trial in which superior benefit was observed in younger patients than in older patients (Freed et al., 2001). We have identified one potential contributing factor to the poorer graft outcome in aged rats: an aging-related reduction in striatal DA neurotrophic activity (Kaseloo et al., 1996; Ling et al., 2000). Indeed, supplementation of trophic support in the environment of grafted DA neurons dramatically enhances graft survival in aged rat hosts (Collier et al., 1999).

The present study sought to determine whether the development of an impoverished striatal neurotrophic environment resulting from advancing age presents a challenge for experimental therapeutics in a species more closely related to humans. We compared measures of striatal trophic factors for DA neurons in the intact and DA-depleted hemispheres of female rhesus monkeys of advancing age treated with unilateral intracarotid administration of MPTP. The striatum contralateral to MPTP lesion permits assessment of trophic factors as affected primarily by aging processes. The striatum ipsilateral to MPTP lesion allows for the assessment of these molecules in the context of the interaction between aging and severe DA depletion. Samples from the DA-depleted hemisphere were collected at 3 months after MPTP treatment. This time point was chosen in an effort to assess a relatively stable phase of the DA-depleted state that may model the condition of PD patients embarking upon a therapeutic intervention. Three assays were conducted. First, we assessed the ability of aggregate soluble trophic activity in extracts of the striatum to support DA neurons in culture. Trophic activity measured in this fashion is inversely related to DA tone in preclinical studies (Carvey et al., 1989, 1991, 1993a,b, 1996; Nijima et al., 1990; Tomozawa and Appel, 1986) and is increased in PD (Carvey et al., 1993; Yu et al., 1994). Second, brain-derived neurotrophic factor (BDNF) levels were assayed by enzyme-linked immunosorbent assay (ELISA). Converging lines of evidence support the concept that BDNF is a potent trophic factor for DA neurons in vitro and in vivo (Collier and Sortwell, 1999). BDNF is present in substantia nigra DA neurons (Seroogy

and Gall, 1993; Seroogy et al., 1994) and in the striatum (Conner et al., 1997; Kawamoto et al., 1996) is regulated during nigral development (Friedman et al., 1991), increases in response to DA depletion (Funa et al., 1996; Yurek and Fletcher-Turner, 2000, 2001; Zhao et al., 1996), and the response to DA depletion is lost with aging in rats (Yurek and Fletcher-Turner, 2000, 2001). Substantia nigra BDNF is decreased in PD (Howells et al., 2000; Mogi et al., 1999; Parain et al., 1999). Third, glial cell line-derived neurotrophic factor (GDNF) was assayed by ELISA. GDNF also is a potent trophic factor for DA neurons in vitro and in vivo (Collier and Sortwell, 1999). GDNF is retrogradely transported from the striatum to the substantia nigra (Ai et al., 2003; Kordower et al., 2000; Wang et al., 2002). Within the nigra, GDNF is found in astrocytes (Choi-Lundberg and Bohn, 1995; Schaar et al., 1993), is regulated during development (Choi-Lundberg and Bohn, 1995; Stromberg et al., 1993), and declines with aging in rats (Yurek and Fletcher-Turner, 2001). Immunohistochemical studies have reported decreases in nigral GDNF in PD (Chauhan et al., 2001).

Our findings indicate that total trophic activity is significantly increased in the intact striatum by middle age and remains elevated in old age. In response to DA depletion, this combined activity is significantly increased in young adult monkeys but is not elevated above the already increased basal levels in middle-aged and aged monkeys. Striatal BDNF levels decrease significantly in the intact striatum in the oldest age group only and following DA depletion in young and middle-aged animals declines to the same extent seen in aged monkey. No significant changes were detected in striatal GDNF levels with aging or in response to DA depletion at 3 months post-MPTP.

Materials and methods

Animals

Subjects were female rhesus monkeys (*Macaca mulatta*) weighing 6–9 kg. Three age groups were studied: young adult (8–9.5 years) $n = 4$, middle-aged (15–17 years) $n = 4$, and aged (21–31 years) $n = 7$. Rhesus monkeys age at a rate of 3:1 as compared to humans (Andersen et al., 1999). Thus, our groups model the equivalent of 24 years, 45–51 years, and 63–93 years of human life. Animals were housed in individual primate cages and cared for in the AALAC approved Biological Resources Laboratory at the University of Illinois-Chicago. All monkeys were treated with unilateral intracarotid administration of 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) (3–4 mg) as previously described (Erborg et al., 2001). Treatment resulted in equivalent behavioral signs in all subjects, principally characterized by complete disuse of the forelimb contralateral to infusion. Care and use of these animals was in

149 compliance with all applicable laws and regulations as well
 150 as principles expressed in the National Institutes of Health,
 151 United States Public Health Service Guide for the Care and
 152 Use of Laboratory Animals. This study was approved by the
 153 Animal Care and Use Committees of the University of
 154 Illinois-Chicago and Rush University Medical Center.

155 Tissue

156 Three months following induction of behavioral symp-
 157 toms, animals were killed by pentobarbital overdose (50 mg/
 158 kg with effect confirmed by absence of corneal reflex) and
 159 perfused with physiological saline. Brains were removed,
 160 and each forebrain was divided into coronal slabs of 4 mm
 161 thickness on ice. Tissue punches, 1.3 mm in diameter, were
 162 taken from standard locations (Sladek et al., 1995) in the
 163 ventral-medial caudate nucleus and putamen at precommis-
 164 sural and commissural levels of the striatum. Punches were
 165 frozen on dry ice and stored at -70°C until processing.
 166 Caudate and putamen punches from the precommissural
 167 striatum were devoted to the assay of combined soluble
 168 trophic activity, and punches from the commissural striatum
 169 were devoted to ELISAs for BDNF and GDNF. Samples
 170 from all animals were not available for all assays. For all
 171 assays, measures derived from caudate nucleus and putamen
 172 were not statistically different and were combined for
 173 presentation as "striatal" trophic factor activity or levels.

174 Trophic activity and tissue culture

175 Striatal tissue was homogenized in ice-cold Hank's
 176 balanced salt solution (HBSS), centrifuged at 18,000 × g
 177 for 15 min, and the protein concentration of the supernatant
 178 extracts was assessed for total protein using the Bio-Rad kit.
 179 The extract was adjusted to 200 µg protein/ml and assessed
 180 for trophic activity as described previously (Ling et al.,
 181 2000). Briefly, ventral mesencephalon from embryonic day
 182 14.5 rats was dissected and dissociated into a cell
 183 suspension. Cells were plated at 125,000 cells/cm² in 96-
 184 well plates and incubated in 75% serum-free-defined media
 185 (DM) + 25% striatal tissue extract. On every plate, controls
 186 were used to assess baseline growth. These control cultures
 187 were incubated in 75% DM + 25% HBSS instead of striatal
 188 extract. Each plate also had 2 wells in which cells were
 189 incubated in 90% DM + 10% fetal calf serum. These
 190 positive controls were used to establish that cultures were
 191 growth responsive. Plates in which the tyrosine hydroxylase
 192 immunoreactive (THir) cell counts in the positive controls
 193 were not at least 2× that seen in plate controls were
 194 discarded. After incubation for 72 h, cultures were fixed,
 195 stained, and counts of THir neurons were performed. Cells
 196 were counted in a cross pattern covering 44% of the well
 197 surface. The THir cell counts in each well were divided by
 198 the average THir cell count in the plate controls and used as
 199 a survival index. Each extract was tested in at least two
 200 independent culture runs.

Enzyme-linked immunosorbent assay (ELISA) for BDNF and GDNF

201
202

203
204
205
206
207
208
209
210
211
212
213
214
215
216
217
218
219
220
221
222
223
224
225
226
227
228

ELISAs for BDNF and GDNF followed previously published protocols (Yurek and Fletcher-Turner, 2000, 2001). Each tissue sample was weighed prior to freezing at -80°C. Subsequently, tissue samples were homogenized in 25 volumes of buffer [400 mM NaCl, 0.1% Triton-X, 2.0 mM EDTA, 0.1 mM benzethonium chloride, 2.0 mM benzamidine, 0.1 mM PMSF, Aprotinin (9.7 TIU/ml), 0.5% BSA, 0.1 M phosphate buffer, pH 7.4]. The homogenate was centrifuged for 10 min at 10,000 × g at 4°C. The neurotrophic factor content was determined in 100 µl aliquots of supernatant with an antibody sandwich format: extracted neurotrophic factor from each sample was captured with a neurotrophic factor-specific monoclonal antibody (mAb), the captured neurotrophic factor was then bound to the second, neurotrophic factor-specific polyclonal antibody (pAb). After washing, the amount of specifically bound pAb was detected using a species-specific anti-IgG antibody conjugated to horseradish peroxidase (HRP) as a tertiary reactant. Unbound conjugate was removed by washing, and following an incubation period with a chromogenic substrate the color change was measured. The amount of BDNF or GDNF is proportional to the color change generated in an oxidation-reduction reaction (Promega E_{max}TM Immuno-Assay System) and detected in a microplate reader set at 450 nm.

Statistics

229
230
231
232
233

Comparisons of counts of THir neurons in culture and trophic factor levels were analyzed with analysis of variance (ANOVA) followed by Fisher's protected least significant differences (PLSD) test.

Results

234

Combined striatal trophic activity

235
236
237
238
239
240
241
242
243
244
245
246
247
248
249

There was an aging-related increase in the combination of soluble trophic factors derived from the caudate nucleus and putamen contralateral to MPTP exposure. This was demonstrated by the significant increase in the capacity for this trophic activity to support survival and growth of cultured rat DA neurons [$F(5,49) = 3.857$, $P = 0.005$]. The approximate 50% increase in survival of THir neurons in culture was evident by middle age and sustained in old age (Figs. 1 and 2). For young adult monkeys, extracts derived from the DA-depleted hemisphere produced a significant 50% increase in survival of cultured DA neurons, matching the elevated baseline levels of older monkeys. In contrast, samples from the DA-depleted hemisphere of middle-aged and aged subjects maintained

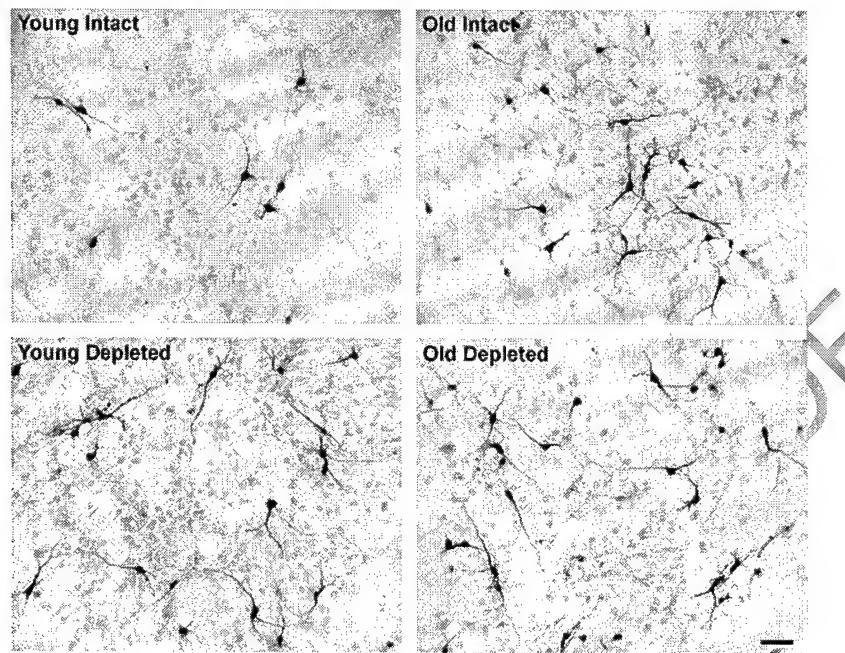


Fig. 1. Striatal-derived trophic activity for cultured rat dopamine (DA) neurons is increased with aging and in response to DA depletion in young adult, but not aged, monkeys. Micrographs illustrate representative fields of cultured embryonic day 14.5 rat ventral mesencephalon immunostained for tyrosine hydroxylase (TH) to visualize DA neurons. Cultures were exposed to striatal extracts from monkeys of varying ages for 72 h and quantified for TH-positive cell numbers relative to control cultures not exposed to extracts. As shown, extracts from the intact striatum exhibit an aging-related increase in trophic support for DA neurons. Comparison of effects of extracts from the intact and DA depleted hemispheres of young and old monkeys indicates that striatal DA depletion triggers increased trophic activity in young adult monkeys, but that aged monkeys do not exhibit any further increase in trophic activity over their already elevated baseline levels. Scale bar = 50 μ m.

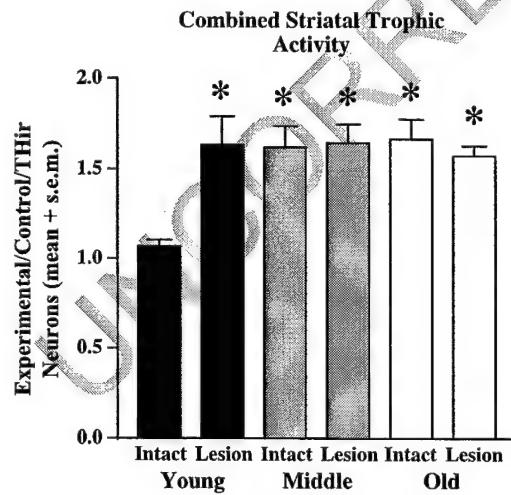


Fig. 2. Combined nonhuman primate striatal trophic activity for cultured dopamine (DA) neurons. Counts of tyrosine hydroxylase (TH)-positive neurons in cultures exposed to striatal extracts are presented as compared to control cultures not exposed to extracts. For extracts derived from the intact striatum, an approximately 50% increase in trophic activity is detectable by middle age and sustained in old age. In response to striatal DA depletion, young adult monkeys generate a similar 50% increase in trophic activity, but further increases beyond elevated baseline levels are not produced in middle-aged and aged monkeys. ANOVA: $F(5,49) = 3.857$, $P = 0.005$. Fisher's PLSD: * $P < 0.002$ for young intact as compared to all other groups.

increased trophic support but were not elevated above the already increased levels of the contralateral hemisphere attributable to aging.

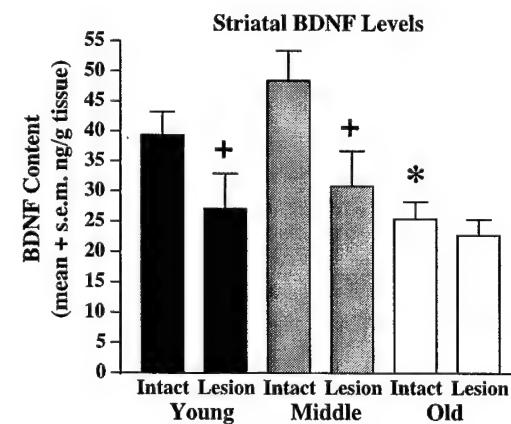


Fig. 3. Striatal BDNF levels as measured with ELISA. BDNF levels are presented as nanograms of BDNF per gram tissue. For the intact striatum, BDNF levels were found to be maintained from young adulthood into middle age but decreased significantly in old age. Following dopamine depletion, young adult and middle-aged monkeys exhibited significant decreases in striatal BDNF. Aged monkeys showed no further decline in BDNF beyond their depleted baseline levels. ANOVA: $F(5,42) = 4.508$, $P = 0.002$. Fisher's PLSD: * $P < 0.05$ as compared to intact hemisphere; * $P < 0.02$ as compared to intact hemisphere of young and middle-aged monkeys.

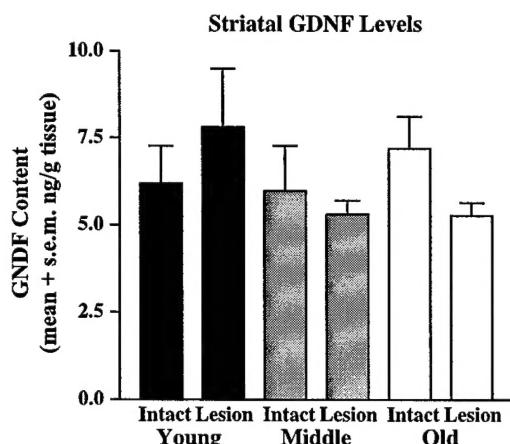


Fig. 4. Striatal GDNF levels as measured with ELISA. GDNF levels are presented as nanograms of GDNF per gram tissue. No differences were found in striatal levels of GDNF with aging or at 3 months after dopamine depletion. ANOVA: $F(5,38) = 0.729$, $P = 0.606$.

253 Striatal BDNF levels

254 BDNF levels measured in striatal samples from the
255 hemisphere contralateral to MPTP exposure exhibited a
256 significant aging-related decline of approximately 40% that
257 was detectable only in the oldest age group [$F(5,42) =$
258 4.508, $P = 0.002$] (Fig. 3). In response to DA depletion,
259 striatal BDNF decreased significantly in young adult and
260 middle-aged subjects but did not decrease further in the
261 already BDNF-depleted-aged subjects.

262 Striatal GDNF levels

263 GDNF levels assayed from the striatum of the hemi-
264 sphere contralateral to MPTP exposure showed no changes
265 associated with advancing age [$F(5,38) = 0.729$, $P = 0.606$]
266 (Fig. 4). Similarly, at 3 months after MPTP-induced DA
267 depletion, striatal GDNF levels were not significantly
268 different from levels in the contralateral hemisphere.

269 Discussion

270 The expression of neurotrophic factors is a dynamic
271 process and a defining event associated with nervous system
272 development, aging, and the response to damage and
273 disease. For the nigrostriatal system, BDNF and GDNF
274 perhaps are the most studied of the more than 20 molecules
275 with known neurotrophic effects for DA neurons (Collier
276 and Sortwell, 1999). Abundant information exists in the
277 literature on the regulation of neurotrophic factors during
278 early development of the nervous system, and these data
279 serve in part as the rationale for their potential therapeutic
280 efficacy. Our understanding of how aging influences
281 neurotrophic function is in its relatively early stages. Most
282 studies examining aging effects of trophic factors upon the
283 nigrostriatal DA system have been conducted on aged rats.

Virtually no such studies have been performed in aging nonhuman primates, and the data collected in our study present several differences from what has been found in rodents.

Similar to the present study, the majority of evidence on striatal trophic factor levels obtained from aging rats used a unilateral DA depletion model. The hemisphere contralateral to unilateral infusion of 6-hydroxydopamine (6-OHDA) has been assayed for changes in trophic factors as a function of chronological age and the hemisphere ipsilateral to 6-OHDA was assayed for the reaction of the aging striatum to severe DA depletion. It should be noted that the use of the hemisphere contralateral to unilateral DA depletion might not be a perfect representation of a truly "intact" striatum from untreated animals. Unilateral lesions will remove the very small percentage of nigrostriatal DA fibers that cross to the contralateral striatum (Hedreen and DeLong, 1991), and in the case of intracarotid administration of MPTP there can be evidence of exposure contralaterally (Eberling et al., 2002). The presence or absence of crossover effects appears to be dose related, occurring with increased frequency at relatively higher doses (Guttman et al., 1990). For the present study, parallel analysis of substantia nigra cell numbers in the same monkeys using unbiased stereology has found no difference between counts in the hemisphere contralateral to MPTP exposure and counts derived from untreated monkeys. Thus, for the cases presented here, we have no evidence of significant MPTP exposure to the contralateral hemisphere. With this potential caveat in mind, we will refer to the hemisphere contralateral to unilateral DA depletion as "intact," for convenience, in the remainder of the discussion.

Studies in aging rats have been conducted using the same assays reported here. For effects on combined striatal trophic activity attributable to aging, parallel analysis of the striatum of untreated rats and the striatum contralateral to a unilateral 6-OHDA lesion detected identical results. This supports the view that the hemisphere contralateral to unilateral DA depletion can be appropriate for study as an intact control. For aging rats, combined striatal trophic activity for cultured DA neurons derived from intact striatum was relatively preserved through middle age (4, 12, and 18 months old) but declined significantly in advanced age (23 months old) (Ling et al., 2000). Striatal levels of GDNF, but not BDNF, decreased significantly in aged rats (4–5 months old as compared to 31–34 months old) (Yurek and Fletcher-Turner, 2000, 2001).

Our evidence from the intact hemisphere of aging monkeys is considerably different from the pattern detected in rats. We found that combined striatal trophic activity for cultured DA neurons is significantly increased by middle age and remains elevated in old age. No change in striatal GDNF levels was detected with advancing age. Striatal levels of BDNF were stable from young adulthood through middle age but declined significantly in the oldest monkeys. These same monkey subjects have been assessed for

284
285
286
287
288
289
290
291
292
293
294
295
296
297
298
299
300
301
302
303
304
305
306
307
308
309
310
311
312
313
314
315
316
317
318
319
320
321
322
323
324
325
326
327
328
329
330
331
332
333
334
335
336
337
338
339

340 biochemical and morphological markers of the nigrostriatal
341 DA system, providing a context not available in the rat
342 studies. Our studies show that striatal DA levels are
343 significantly decreased by middle age in the intact striatum,
344 and that THir neurons in substantia nigra exhibit no overt
345 cell loss but do show decreasing soma size and intensity of
346 TH immunoreactivity that is progressive from young
347 adulthood through middle age into old age (Collier et al.,
348 2003a,b). Thus, the increase in combined striatal trophic
349 activity detected parallels the timing of degenerative
350 changes in DA neurons and is likely to be a compensatory
351 response triggered during the aging process in this system.
352 Furthermore, our evidence suggests that chronic increases in
353 striatal trophic activity generated during aging cannot be
354 attributed to increases in GDNF or BDNF as these neuro-
355 trophic factors either do not change or decline. Finally, the
356 trophic compensation generated at best appears to maintain
357 a reduced level of striatal DA from middle age into old age
358 and does not forestall progressive signs of morphological
359 deterioration at the level of substantia nigra DA cell bodies.
360 The findings from the DA-depleted striatum of aging rats
361 and monkeys must necessarily be interpreted in the context
362 of the timing of sample collection following lesion. Both rat
363 studies and our monkey study endeavored to examine
364 trophic factor levels at a time believed to represent the
365 characteristics of stable DA depletion and were meant to
366 model the state of the striatum when therapeutic intervention
367 might be instituted for a PD patient. Still, the scope of such
368 analyses is limited by the required focus on selected time
369 points. In rats, combined striatal trophic activity ipsilateral
370 to DA depletion is significantly increased in younger
371 animals (4 and 12 months old) and this response is entirely
372 absent in older animals (18 and 23 months old) (Ling et al.,
373 2000). This assay was performed at 8 weeks after unilateral
374 6-OHDA lesion. Striatal levels of BDNF and GDNF have
375 been demonstrated to increase significantly at 2–4 weeks
376 ipsilateral to unilateral DA depletion in young adult rats (4–
377 5 months old), but no increase is detected in aged rats (31–
378 34 months old) (Yurek and Fletcher-Turner, 2000, 2001).
379 There is evidence that this 2- to 4-week timeframe may
380 represent a period of maximal response for these factors, as
381 BDNF levels while elevated in young adult rats at 2 weeks
382 after DA depletion decline to baseline by 7 weeks after
383 lesion (Zhao et al., 1996). Taken together, the evidence from
384 aging rats supports the view that DA depletion triggers
385 increased striatal trophic activity in young rats, but that this
386 response is compromised in aged rats.

387 Consistent with findings in rats, young adult monkeys
388 increased striatal trophic activity in response to severe DA
389 depletion, while middle-aged and aged adults did not. This
390 increase in young monkeys was sustained at 3 months after
391 unilateral MPTP administration. The failure to detect an
392 increase in trophic activity in older monkeys was potentially
393 the result of the aged animals' inability to generate further
394 increases beyond the already elevated baseline levels. Thus,
395 the compensatory response in older monkeys may be

saturated by the response to aging per se. Alternatively,
396 we cannot rule out the possibility that older animals
397 generate further increases in trophic activity over a transient
398 time course. However, it is interesting that the combined
399 striatal trophic response generated in young monkeys
400 following sudden severe DA depletion is of the same
401 magnitude as the response triggered by gradual aging-
402 related deterioration of the DA system. This might favor the
403 interpretation that the increase observed represents a bio-
404 logical maximum for this compensatory response.
405

Changes in BDNF and GDNF predicted by rat studies
406 also did not hold true for monkeys. While young adult rats
407 increased striatal BDNF levels at 2–4 weeks following DA
408 depletion, young and middle-aged monkeys exhibited
409 significant decreases in BDNF levels following DA
410 depletion. Like aged rats, aged monkeys did not exhibit
411 any change in BDNF following DA depletion. The
412 equivalent levels of striatal BDNF displayed by DA-
413 depleted young and middle-aged monkeys and the intact
414 hemisphere of old monkeys is consistent with exhaustion of
415 the pool of BDNF that resides within nigrostriatal DA
416 neurons. The compensatory increase of BDNF seen in
417 young rats following DA depletion is hypothesized to be a
418 consequence of increased BDNF derived from non-DA
419 neuron sources in the striatum (Yurek and Fletcher-Turner,
420 2001). The most likely source is provided by
421 anterograde transport from cortex (Altar and DiStefano,
422 1998; Altar et al., 1997; Mufson et al., 1999). To the extent
423 that this is accurate, our findings indicate that BDNF
424 compensation from other sources either does not occur in
425 nonhuman primates or occurs over a shorter time course and
426 is not maintained. Our cases showed no significant change
427 in striatal GDNF levels following DA depletion. While this
428 indicates that any change in GDNF is not maintained over
429 time, it does not rule out the possibility that a more transient
430 response is generated as suggested by rat studies. Further-
431 more, these data indicate that any enhancement of endoge-
432 nous GDNF that might be provoked by DA depletion is
433 unlikely to be active at the time of therapeutic intervention
434 in PD patients.
435

The disconnect between changes in striatal trophic
436 activity during aging and in response to DA depletion in
437 rats and monkeys was unanticipated but supports the
438 importance of the nonhuman primate model for the final
439 evaluation of experimental therapeutics for humans. The
440 presence of elevated combined striatal trophic activity that is
441 sustained in aging, and in response to MPTP-induced DA
442 depletion, argues against the view that aging produces a
443 generalized impoverished trophic environment that may
444 adversely affect therapeutic strategies dependent upon this
445 activity. However, we demonstrate that this increased
446 trophic response is unable to forestall degenerative changes
447 in the DA system, as these same monkeys display aging-
448 related decreases in striatal DA and morphological signs of
449 deterioration in nigral cell bodies. The combination of
450 trophic molecules constituting this striatal response remains
451

452 to be determined, but the failure of trophic signaling to
 453 completely preserve DA neuron integrity appears not to be a
 454 product of decreased levels of all molecules that can
 455 generate DA neurotrophic effects. Our findings suggest that
 456 chronic increases in striatal trophic activity expressed during
 457 aging and the compensatory response generated by DA
 458 depletion in young adult monkeys are not attributable to
 459 increased levels of BDNF or GDNF as these molecules
 460 either decreased or remained stable. This may suggest that
 461 declines in BDNF in conjunction with low adult levels of
 462 GDNF are specifically associated with aging-related dete-
 463 rioration of the DA system.

464 Acknowledgments

465 This work was supported by AG17092 (TJC), DAMD17-
 466 01-1-0766 (DMY), NS045316 (ZDL), 5R21 ES012307,
 467 USARMRA W81XWH0410365, and the Michael J. Fox
 468 Foundation (PMC). The authors are grateful for the
 469 excellent technical assistance of Mr. Brian Daley and Ms.
 470 Michelle Gartland.

471 References

472

Ai, Y., Markesberry, W., Zhang, Z., Grondin, R., Elseberry, D., Gerhardt, G.A., Gash, D.M., 2003. Intraputamenal infusion of GDNF in aged rhesus monkeys: distribution and dopaminergic effects. *J. Comp. Neurol.* 461, 250–261.

Altar, C.A., DiStefano, P.S., 1998. Neurotrophin trafficking by anterograde transport. *Trends Neurosci.* 21, 433–437.

Altar, C.A., Cai, N., Bliven, T., Juhasz, M., Conner, J.M., Acheson, A.L., Lindsay, R.M., Wiegand, S.J., 1997. Anterograde transport of brain-derived neurotrophic factor and its role in the brain. *Nature* 389, 856–860.

Andersen, A.H., Zhang, Z., Zhang, M., Gash, D.M., Avison, M.J., 1999. Age-associated changes in rhesus CNS composition identified by MRI. *Brain Res.* 829, 90–98.

Baldereschi, M., Di Carlo, A., Vanni, P., Ghetti, A., Carbonin, P., Amaducci, L., Inzitari, D., 2003. Lifestyle-related risk factors for Parkinson's disease: a population-based study. *Acta Neurol. Scand.* 108, 239–244.

Carvey, P.M., Ptak, L.R., Kao, L.-C., Klawans, H.L., 1989. Striatal homogenates from animals chronically treated with haloperidol stimulate dopamine and GABA uptake in cultures of rostral mesencephalic tegmentum. *Clin. Neuropharmacol.* 12, 425–434.

Carvey, P.M., Ptak, L.R., Lo, E.S., Lin, D., Buhrfiend, C.M., Goetz, C.G., Klawans, H.L., 1991. Levodopa reduces the growth promoting effects of striatal extracts on rostral mesencephalic tegmentum cultures. *Exp. Neurol.* 114, 28–34.

Carvey, P.M., Ptak, L.R., Lin, D., Lo, E.S., Buhrfiend, C.M., Drucker, G.E., Fields, J.Z., 1993a. Alterations in striatal neurotrophic activity induced by dopaminergic drugs. *Pharmacol. Biochem. Behav.* 46, 195–204.

Carvey, P.M., Ptak, L.R., Nath, S.T., Sierens, D.K., Mufson, E.J., Goetz, C.G., Klawans, H.L., 1993b. Striatal extracts from patients with Parkinson's disease promote dopamine neuron growth in mesencephalic cultures. *Exp. Neurol.* 120, 149–152.

Carvey, P.M., Lin, D.H., Faselis, C.J., Notermann, J.K., Ling, Z.D., 1996. Loss of striatal DA innervation increases striatal trophic activity directed at DA neurons in culture. *Exp. Neurol.* 140, 184–197.

Cenci, M.A., Whishaw, I.Q., Schallert, T., 2002. Animal models of neurological deficits: how relevant is the rat? *Nat. Rev. Neurosci.* 3, 574–579.

Chauhan, N.B., Siegel, G.J., Lee, J.M., 2001. Depletion of glial cell line-derived neurotrophic factor in substantia nigra neurons of Parkinson's disease brain. *J. Chem. Neuroanat.* 21, 277–288.

Choi-Lundberg, D.L., Bohn, M.C., 1995. Ontogeny and distribution of glial cell line-derived neurotrophic factor (GDNF) mRNA in rat. *Dev. Brain Res.* 85, 80–88.

Collier, T.J., Sortwell, C.E., 1999. Therapeutic potential for nerve growth factors in Parkinson's disease. *Drugs Aging* 14, 261–287.

Collier, T.J., Sortwell, C.E., Daley, B.F., 1999. Diminished viability, growth, and behavioral efficacy of fetal dopamine neuron grafts in aging rats with long-term dopamine depletion: an argument for neurotrophic supplementation. *J. Neurosci.* 19, 5563–5573.

Collier, T.J., Steece-Collier, K., Kordower, J.H., 2003. Primate models of Parkinson's disease. *Exp. Neurol.* 183, 258–262.

Collier, T.J., Daley, B.F., Lipton, J.W., Chu, Y., Ling, Z.D., Sortwell, C.E., Fletcher-Turner, A., Yurek, D.M., Emborg, M.E., Blanchard, B.C., 2003. Abstract 878.4. Society for Neuroscience.

Conner, J.M., Lauterborn, J.C., Yan, Q., Gall, C.M., Varon, S., 1997. Distribution of brain derived neurotrophic factor (BDNF) protein and mRNA in the normal adult rat CNS: evidence for anterograde axonal transport. *J. Neurosci.* 17, 2295–2313.

Eberling, J.L., Pivitrotto, P., Bringas, J., Steiner, J.P., Kordower, J.H., Chu, Y., Emborg, M.E., Bankiewicz, K.S., 2002. The immunophilin ligand GPI-1046 does not have neuroregenerative effects in MPTP-treated monkeys. *Exp. Neurol.* 178, 236–242.

Emborg, M.E., Shin, P., Roitberg, B., Sramek, J.G., Chu, Y., Stebbins, G.T., Hamilton, J.S., Suzdak, P.D., Steiner, J.P., Kordower, J.H., 2001. Systemic administration of the immunophilin ligand GPI 1046 in MPTP-treated monkeys. *Exp. Neurol.* 168, 171–182.

Freed, C.R., Green, P.E., Breeze, R.E., Tsai, W.Y., DuMouchel, W., Kao, R., Dillon, S., Winfield, H., Culver, S., Trojanowski, J.Q., Eidelberg, D., Fahn, S., 2001. Transplantation of embryonic dopamine neurons for severe Parkinson's disease. *N. Engl. J. Med.* 344, 710–719.

Friedman, W.J., Olson, L., Persson, H., 1991. Cells that express brain-derived neurotrophic factor mRNA in the developing postnatal rat brain. *Eur. J. Neurosci.* 3, 688–697.

Funa, K., Yamada, N., Brodin, G., Pietz, K., Ahgren, A., Wiktorin, K., Lindvall, O., Odin, P., 1996. Enhanced synthesis of platelet-derived growth factor following injury induced by 6-hydroxydopamine in rat brain. *Neuroscience* 74, 825–833.

Guttman, M., Fibiger, H.C., Jakubovic, A., Calne, D.B., 1990. Intracarotid 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine administration: biochemical and behavioral observations in a primate model of hemiparkinsonism. *J. Neurochem.* 54, 1329–1334.

Hedreen, J.C., DeLong, M.R., 1991. Organization of striatopallidal, striatonigral, and nigrostriatal projections in the macaque. *J. Comp. Neurol.* 304, 569–595.

Howells, D.W., Porritt, M.J., Wong, J.Y., Batchelor, P.E., Kalnins, R., Hughes, A.J., Donnan, G.A., 2000. Reduced BDNF mRNA expression in the Parkinson's disease substantia nigra. *Exp. Neurol.* 166, 127–135.

Kaseloo, P.A., Lis, A., Asads, H., Barone, T.A., Plunkett, R.J., 1996. In vitro assessment of neurotrophic activity from the striatum of aging rats. *Neurosci. Lett.* 218, 157–160.

Kawamoto, Y., Nakamura, S., Nakano, S., Oka, N., Akiguchi, I., Kimura, J., 1996. Immunohistochemical localization of brain-derived neurotrophic factor in adult rat brain. *Neurosci.* 74, 1209–1226.

Kordower, J.H., Emborg, M.E., Bloch, J., Ma, S.Y., Chu, Y., Leventhal, L., McBride, J., Chen, E.Y., Palfi, S., Roitberg, B.Z., Brown, W.D., Holden, J.E., Pyzalski, R., Taylor, M.D., Carvey, P., Ling, Z., Trono, D., Hantraye, P., Geglon, N., Aebscher, P., 2000. Neurodegeneration prevented by lentiviral vector delivery of GDNF in primate models of Parkinson's disease. *Science* 290, 767–773.

Lindsay, J., Laurin, D., Verreault, R., Hebert, R., Helliwell, B., Hill, G.B., 2002. Abstract 878.4. Society for Neuroscience.

576 Carvey, P.M., 2002. Risk factors for Alzheimer's disease: a prospective
577 analysis from the Canadian Study of Health and Aging. Am. J.
578 Epidemiol. 156, 445–453.

579 Ling, Z.D., Collier, T.J., Sortwell, C.E., Lipton, J.W., Vu, T.Q., Robie, H.C.,
580 Carvey, P.M., 2000. Striatal trophic activity is reduced in the aged rat
581 brain. Brain Res. 856, 301–309.

582 Mogi, M., Togari, A., Kondo, T., Mizuno, Y., Komure, O., Kuno, S.,
583 Ichinose, H., Nagatsu, T., 1999. Brain-derived growth factor and nerve
584 growth factor concentrations are decreased in substantia nigra in
585 Parkinson's disease. Neurosci. Lett. 270, 45–48.

586 Mufson, E.J., Kroin, J.S., Sendera, T.J., Sobrevielo, T., 1999. Distribution
587 and retrograde transport of trophic factors in the central nervous system:
588 functional implications for the treatment of neurodegenerative diseases.
589 Prog. Neurobiol. 57, 451–484.

590 Nijima, K., Araki, M., Ogawa, M., Nagatsu, I., Sato, F., Kimura, H.,
591 Yoshida, M., 1990. Enhanced survival of cultured dopamine neurons by
592 treatment with soluble extracts from chemically deafferented striatum of
593 adult rat brain. Brain Res. 528, 151–154.

594 Orth, M., Tabrizi, S.J., 2003. Models of Parkinson's disease. Mov. Disord.
595 18, 729–737.

596 Parain, K., Murer, M.G., Yan, Q., Faucheuix, B., Agid, Y., Hirsch, E.,
597 Raisman-Vozari, R., 1999. Reduced expression of brain-derived neuro-
598 trophic factor protein in Parkinson's disease substantia nigra. Neuro-
599 Report 10, 557–561.

600 Schaar, D.G., Sieber, B.A., Dreyfus, C.F., Black, I.B., 1993. Regional
601 and cell-specific expression of GDNF in rat brain. Exp. Neurol. 124,
602 368–371.

603 Seroogy, K.B., Gall, C.M., 1993. Expression of neurotrophins by midbrain
604 dopaminergic neurons. Exp. Neurol. 124, 119–128.

605 Seroogy, K.B., Lundgren, K.H., Tran, T.M., Guthrie, K.M., Isackson, P.J.,
606 Gall, C.M., 1994. Dopaminergic neurons in rat ventral midbrain express
607 brain derived neurotrophic factor and neurotrophin-3 mRNAs. J. Comp.
608 Neurol. 342, 321–334.

609 Shimohama, S., Sawada, H., Kitamura, Y., Taniguchi, T., 2003. Disease
610 model: Parkinson's disease. Trends Mol. Med. 9, 360–365.

611 Sladek Jr., J.R., Elsworth, J., Taylor, J.R., Roth, R.H., Redmond Jr.,
648

D.E., 1995. Techniques for neural transplantation in non-human
primates. Methods in Cell Transplantation. R.G. Landes, Austin, TX,
pp. 391–408.

Sortwell, C.E., Camargo, M.D., Pitzer, M.R., Gyawali, S., Collier, T.J.,
2001. Diminished survival of mesencephalic dopamine neurons grafted
into aged hosts occurs during the immediate postgrafting interval. Exp.
Neurol. 169, 23–29.

Stromberg, I., Bjorklund, L., Johannsson, M., Tomac, A., Collins, F., Olson,
L., Hoffer, B., Humpel, C., 1993. Glial cell line-derived neurotrophic
factor is expressed in the developing but not adult striatum and stimulates
developing dopamine neurons in vivo. Exp. Neurol. 124, 401–412.

Tomozawa, Y., Appel, S.H., 1986. Soluble striatal extracts enhance
development of mesencephalic dopaminergic neurons in vitro. Brain
Res. 399, 111–124.

Wakisaka, Y., Furuta, A., Tanizaki, Y., Kiyohara, Y., Iida, M., Iwaki, T.,
2003. Age-associated prevalence and risk factors of Lewy body
pathology in a general population: the Hisayama study. Acta Neuro-
pathol. (Berl.) 106, 374–382.

Wang, L., Muramatsu, S., Lu, Y., Ikeguchi, K., Fujimoto, K., Okada, T.,
Mizukami, H., Hanazono, Y., Kume, A., Urano, F., Ichinose, H.,
Nagatsu, H., Nakano, I., Ozawa, K., 2002. Delayed delivery of AAV-
GDNF prevents nigral neurodegeneration and promotes functional
recovery in a rat model of Parkinson's disease. Gene Ther. 9, 381–389.

Yu, S.J., Lo, E.S., Cochran, E.J., Lin, D.H., Faselis, C.J., Klawans, H.L.,
Carvey, P.M., 1994. Cerebrospinal fluid from patients with Parkinson's
disease alters the survival of dopamine neurons in mesencephalic
culture. Exp. Neurol. 125, 15–24.

Yürek, D.M., Fletcher-Turner, A., 2000. Lesion-induced increase of BDNF
is greater in the striatum of young versus old rat brain. Exp. Neurol.
161, 392–396.

Yürek, D.M., Fletcher-Turner, A., 2001. Differential expression of GDNF,
BDNF, and NT-3 in the aging nigrostriatal system following neurotoxic
lesion. Brain Res. 891, 228–235.

Zhao, J., Pliego-Rivero, B., Bradford, H.F., Stern, G.M., 1996. The BDNF
content of postnatal and adult rat brain: the effects of 6-hydroxydop-
amine lesions in adult brain. Dev. Brain Res. 97, 297–303.